# thompsons\_v Documentation

Author

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Nathan Barker, Andrew Duncan and David Robertson have submitted a paper entitled *The power conjugacy problem* in *Higman-Thompson groups* which addresses the following problem in the groups named  $G_{n,r}$ .

[AS74] Given two elements x and y of a group, are there integers a and b and a third element z for which  $x^a = zy^b z^{-1} \neq 1$ ? If so, provide them.

This package aims to implement the algorithms described in the paper. To do so it provides tools for working in

- the algebra  $V_{n,r}$ , a certain set of words, and
- the automorphism group  $G_{n,r} = \operatorname{Aut}(V_{n,r})$ .

This documentation serves three purposes. Firstly, it is meant to serve as a gentle introduction, explaining how to install and use the package. Secondly, it is meant to be a reference to all the various classes and functions provided by thompson. Finally, a number of examples are included throughout the documentation, which can be used as a means to test the implementation.

David M. Robertson, Aug 27, 2018

## CHAPTER 1

## Overview

**Warning:** For the most part, this documentation is automatically generated by Sphinx, so it's not the prettiest thing that's ever been written.

This all began as a series of tools to draw tree pair diagrams in Thompson's group  $V = G_{2,1}$ , hence the name thompson. The focus has moved away from trees diagrams towards bijections between bases of words. From there, the package has grown and it now serves as an implementation of the algorithms we describe in the paper. Chief among these are the algorithms which:

- Determine the type of component which contains a given element, with respect to some given basis [Lemma 4.28]
- Computes the *quasi-normal* basis for a given automorphism [Lemma 4.28]
- Test to see if two words share an orbit [Lemma 4.34]
- Test to see if two automorphism are conjugate [Algorithm 5.6] and power conjugate [Algorithm 6.13].

## CHAPTER 2

## Implementation details

The implementation is written in Python and runs under Python 3.3 and above. The intent was to provide a proofof-concept rather than a perfectly optimised implementation. Despite this, we have found the program useful as a calculator for  $G_{n,r}$ , as a means to generate examples, and for experimentally testing conjectures. The source code (both to the program and this documentation) is publicly available from GitHub.

Todo: Make this available under an open-source license.

## CHAPTER 3

## Contents

## 3.1 Getting Started

#### 3.1.1 Installation

- Python interpreter. This program is written in Python and needs a Python interpreter to run; to be specific, Python 3.3 or above is required. If you don't have this already installed on your system, your best bet is to download the latest version (currently 3.5) from the Python website. For Linux users, Python may be available from your distribution's repositories; take care to install version 3.x rather than 2.x!
- 2. **Source code.** If you have git available on your system, the easiest way to obtain the program is to simply clone the repository:

git clone https://github.com/DMRobertson/thompsons\_v.git

If this is not possible, a ZIPped version can be downloaded from the project page on GitHub.

3. Package requirements. The de-facto package management tool for Python is the pip program, which is installed as part of Python 3.4 and higher. Python 3.3 users should consult the pip documentation for instructions on how to install pip directly. Some Linux distributions may also provide pip as a package (make sure it's for Python 3—it may be listed as pip3).

Once pip is installed, navigate to the project directory and run

pip install -r requirements.txt

(possibly you may need to use pip3). This should do all the hard work for you.

**Direct installation:** If you would prefer not to install pip, you may install the prerequisites directly. There is only one major Python package required to run the program: NetworkX, a library for working with graphs in Python. This may be available in Linux repositories, e.g. Ubuntu.

This documentation is built using Sphinx. If you wish to build it yourself, or run the test suite, you must install Sphinx also. Again, consider checking Linux repositories e.g. in Ubuntu.

#### 3.1.2 First steps

Navigate to the root folder (thompsons\_v) in a terminal and start up Python using the python command. Once the python prompt (>>>) is available, try to import thompson:

```
$ python
Python 3.3.3 (v3.3.3:c3896275c0f6, Nov 18 2013, 21:19:30) [MSC v.1600 64 bit (AMD64)]_
→on win32
Type "help", "copyright", "credits" or "license" for more information.
>>> import thompson
>>>
```

If this fails, check that you're using Python 3.3 or above. If python started up Python 2.x, try using the command python3 instead.

We can now use the python REPL as a sort of calculator for these groups.

```
>>> from thompson import *
>>> sig = (2, 1) #signature of the algebra we work in
>>> domain = Generators.standard_basis(sig).expand(0).expand(0)
>>> range = Generators.standard_basis(sig).expand(0).expand(1)
>>> print(domain)
[x1 al a1, x1 a1 a2, x1 a2]
>>> print(range)
[x1 a1, x1 a2 a1, x1 a2 a2]
>>> phi = Automorphism(domain, range)
>>> print(phi)
InfiniteAut: V(2, 1) -> V(2, 1) specified by 3 generators (after expansion and______reduction).
x1 a1 a1 -> x1 a1
x1 a1 a2 -> x1 a2 a1
x1 a2 -> x1 a2 a2
```

```
>>> phi.image('x a2 a1 a2 a2 a1')
Word('x1 a2 a2 a1 a2 a2 a1', (2, 1))
>>> phi.order
inf
>>> phi.print_characteristics()
(-1, a1)
(1, a2)
>>> phi.dump_QNB()
x1 a1 Left semi-infinite component with characteristic (-1, a1)
x1 a2 Right semi-infinite component with characteristic (1, a2)
```

## 3.1.3 Locating examples

A number of examples are included in this package, some of which are used in [BDR]. To access them, use the load\_example() function:

**Note:** Previously, one would access this example by using from thompson.examples import nathan\_pond\_example. I changed this, because it meant that every example was loaded (and the quasinormal bases etc. computed) whenever thompson.examples was imported.

To see the list of available examples, consult the *documentation* for the *examples* module. You might also like to consult the demo notebook on GitHub.

### 3.1.4 Running the test suite

Make sure Sphinx is installed (see the installation section). Then navigate to the docs folder and run make doctest. This should look through the source code for short tests and alert you if any of them fail. You can also run make html to build this documentation. Reset with make clean.

## 3.2 Number theory

At the bottom level of the package hierarchy are various helper functions which implement standard number-theoretic algorithms.

```
thompson.number_theory.gcd(a, b)
```

Calculate the Greatest Common Divisor of a and b.

Unless b==0, the result will have the same sign as b (so that when b is divided by it, the result comes out positive).

```
>>> gcd(12, 8)
```

```
>>> gcd(0, 50)
50
>>> gcd(7, 101)
1
```

 $\texttt{thompson.number\_theory.extended\_gcd} (a, b)$ 

From this exposition of the extended gcd algorithm. Computes d = gcd(a, b) and returns a triple (d, x, y) where d = ax + by.

>>> extended\_gcd(14, 33)
(1, -7, 3)
>>> extended\_gcd(12, 32)
(4, 3, -1)

thompson.number\_theory.lcm(\*args)

Computes the least common multiple of the given *args*. If a single argument is provided, the least common multiple of its elements is computed.

```
>>> n = randint(1, 1000000)
>>> lcm(n) == n
True
>>> lcm(2, 13)
26
>>> lcm(*range(1, 10)) #1, 2, ..., 9
2520
>>> lcm(range(1, 10)) #Don't have to unpack the arguments
2520
```

thompson.number\_theory.solve\_linear\_diophantine(a, b, c)

Solves the equation ax + by = c for integers  $x, y \in \mathbb{Z}$ .

#### **Return type**

None if no solution exists; otherwise a triple (base, inc, lcm) where

- *base* is a single solution  $(x_0, y_0)$ ;
- *inc* is a pair  $(\delta x, \delta y)$  such that if (x, y) is a solution, so is  $(x, y) \pm (\delta x, \delta y)$ ; and
- *lcm* is the value of lcm(a, b).

 $\texttt{thompson.number\_theory.solve\_linear\_congruence} (a, b, n)$ 

Solves the congruence  $ax \equiv b \pmod{n}$ .

**Return type** a *SolutionSet* representing all such solutions *x*.

```
>>> x = solve_linear_congruence(6, 12, 18)
>>> print(x)
{..., -1, 2, 5, 8, 11, 14, ...}
>>> y = solve_linear_congruence(5, 7, 11)
>>> print(y)
{..., -3, 8, 19, 30, 41, 52, ...}
>>> print(x & y)
{..., -25, 8, 41, 74, 107, 140, ...}
```

thompson.number\_theory.divisors  $(n, include_one=True)$ An iterator that yields the positive divisors  $d \mid n$ .

```
Parameters include_one (bool) – set to False to exlude d = 1.
```

```
>>> list(divisors(12))
[1, 2, 3, 4, 6, 12]
>>> list(divisors(125, include_one=False))
[5, 25, 125]
```

thompson.number\_theory.prod(iterable)

Handy function for computing the product of an iterable collection of numbers. From Stack Overflow.

```
>>> prod(range(1, 5))
24
```

## 3.3 Words and standard forms

By a word, we mean a string written using the symbols

$$X \cup \Omega = \{x_1, \dots, x_r\} \cup \{\alpha_1, \dots, \alpha_n, \lambda\}.$$

We treat the  $x_i$  are constants, the  $\alpha_i$  are unary operators and  $\lambda$  as an *n*-ary operation. We refer to the parameters *n* and *r* as the *arity* and *alphabet size* respectively.

### 3.3.1 Notation

If we collect together all such words, we obtain an *algebra* (in the sense of universal algebra) of words. We consider three different algebras; using the notation of [Cohn81]:

- 1.  $W(\Omega; X)$ , the set of all finite strings written using letters in  $X \cup \Omega$ . In other words/jargon, this is the free monoid on  $X \cup \Omega$ . Cohn calls these strings  $\Omega$ -rows.
- 2.  $W_{\Omega}(X)$ , the subset of  $W(\Omega; X)$  whose strings represent a valid series of operations. Valid means that each the operations  $\alpha_i$  and  $\lambda$  always recieve the correct number of arguments. Cohn calls these strings  $\Omega$ -words.
- 3.  $V_{n,r}(X) = W_{\Omega}(X)/\mathfrak{q}$ . This is equivalent to the set of words in  $W_{\Omega}(X)$  which are in Higman's *standard* form. Roughly speaking, a word is in standard form if is valid (type 2) and it cannot be reduced to a shorter word using the following two rules.
  - (a)  $w_1 \dots w_n \lambda \alpha_i = w_i$ , where each  $w_i$  is in standard form.
  - (b)  $w\alpha_1 \dots w\alpha_n \lambda = w$ , where w is in standard form.

Sometimes we need to refer to a string which consists only of  $\alpha$ -s. Write A for the set  $\{\alpha_1, \ldots, \alpha_n\}$ . We define  $A^*$  to be the set of finite strings over A. (Again, this is the free monoid on A.)

Finally, let S be a set of words. We define  $X\langle A \rangle$  to be the set of concatenations  $s\Gamma$ , where  $s \in S$  and  $\Gamma \in A^*$ . It is sometimes helpful to think of  $S\langle A \rangle$  as the set of words *below* S.

#### See also:

Sections 2 and 2.3 and Remark 3.3 of the paper.

#### 3.3.2 Signatures

It may happen that we need to work in different algebras  $V_{n,r}$ ,  $V_{m,s}$  at the same time. To keep track of the algebras that different words belong to, we associate a *Signature* to each word  $w \in V_{n,r}$ .<sup>1</sup> Signatures are implemented as a glorified tuple (n, r). This means they are immutable.

<sup>&</sup>lt;sup>1</sup> This made it easier to catch bugs before they happened when I was passing words around as arguments to functions.

#### class thompson.word.Signature

```
static ___new__ (cls, arity, alphabet_size)
```

Signatures store an *arity*  $n \ge 2$  and an *alphabet\_size*  $r \ge 1$ .

```
___contains___(other)
```

We can use the in operator to see if a Word lies in the algebra that this signature describes.

```
>>> s = Signature(2, 1)
>>> Word('x1 a1 a2', s) in s
True
>>> Word('x1 a1 a2', (2, 2)) in s
False
```

#### is\_isomorphic\_to(other)

We can test the (signatures of) two algebras  $V_{n,r}$  and  $V_{m,s}$  to see if they are isomorphic. This happens precisely when n = m and  $r \equiv s \pmod{n-1}$ .

```
>>> Signature(2, 1).is_isomorphic_to(Signature(2, 4))
True
>>> Signature(2, 1).is_isomorphic_to(Signature(3, 1))
False
>>> Signature(3, 2).is_isomorphic_to(Signature(3, 1))
False
>>> Signature(3, 3).is_isomorphic_to(Signature(3, 1))
True
```

#### See also:

Corollary 3.14 of the paper.

#### 3.3.3 Operations on words

We represent a word as a tuple of integers, where:

- $x_i$  is represented by i,
- $\alpha_i$  is represented by -i, and
- $\lambda$  is represented by 0.

We can write words of all types in this format, but we're only interested in the standard forms (*type 3*). To this end, the *validate()* detects those which are of *type 2*, and *standardise()* reduces type 2 words to type 3.

thompson.word.format (word)

Turns a *Word*, or a sequence of integers representing a *word* into a nicely formatted string. Can be thought of as an inverse to *from\_string()*. The *word* is not processed or reduced in any way by this function.

```
>>> format(Word("x1 a1 a2 a1 a1", (2, 1)))
'x1 a1 a2 a1 a1'
>>> format([2, -1, 2, -2, 0])
'x2 a1 x2 a2 L'
>>> format([])
'<the empty word>'
```

#### thompson.word.format\_cantor(word, subset=False)

An alternative, more concise notation for simple words. We use the Cantor set notation, where we write n - 1 in place of *lpha\_n*. All other symbols  $\lambda$  and  $x_i$  are omitted, so this should really only be used on simple words.

The subset argument changes how we display a single  $x_i$ . It's here for experimental use by CantorSubset. \_\_\_\_\_\_str\_\_\_\_.

```
>>> format_cantor(Word("x1 a1 a2 a1 a1", (2, 1)))
'0100'
>>> format_cantor([2, -1, 2, -2, 0])
'01'
>>> format_cantor([])
'<the empty word>'
>>> format_cantor([1])
'<root>'
>>> format_cantor([1], subset=True)
'<entire Cantor set>'
>>> format_cantor([-1])
'0'
```

thompson.word.from\_string(string)

Converts a *string* representing a word to the internal format—a tuple of integers. No kind of validation or processing is performed. This is used internally by other functions and doesn't typically need to be called directly. Two forms of string are accepted: 'Higman' and 'Cantor'. We assume the former is in use if the string contains an x, a or L; else we assume the latter. The first is the Higman notation (involving x's,: *math* :alpha's and  $\lambda$ 's). Anythingwhich does not denote abasis letter (e.g. 'x', ``'x2', 'x45') a descendant operator (e.g. 'a1', 'a3', 'a27') or a contraction ('L' for  $\lambda$ ) is ignored. Note that 'x' is interpreted as shorthand for 'x1'.

```
>>> x = from_string('x2 al x2 a2 L')
>>> print(x, format(x), sep='\n')
(2, -1, 2, -2, 0)
x2 al x2 a2 L
>>> x = from_string('x al a2 a3')
>>> print(x, format(x), sep='\n')
(1, -1, -2, -3)
x1 al a2 a3
>>> w = random_simple_word()
>>> from_string(str(w)) == w
True
```

The second form is the Cantor-set notation. This only applies when there is a single basis letter x, which is not written down as part of the format. We use this mode if the first non-whitespace character of the input *string* is not x. A descendant operator  $\alpha_n$  is written as the integer n-1. All characters outside the range 0–9 are ignored.

```
>>> x = from_string("001101")
>>> print(x, format(x), format_cantor(x), sep='\n')
(1, -1, -1, -2, -2, -1, -2)
x1 a1 a1 a2 a2 a1 a2
001101
```

Return type tuple of integers

**Todo:** More convenient ways of inputting words, e.g. x a1<sup>3</sup> instead of x a1 a1 a1. Use of unicode characters  $\alpha$  and  $\lambda$ ?

#### thompson.word.validate(letters, signature)

Checks that the given *letters* describe a valid word belonging to the algebra with the given *signature* = (*arity, alphabet\_size*). If no errors are raised when running this function, then we know that:

- The first letter is an  $x_i$ .
- Every  $\lambda$  has *arity* arguments to its left.
- If the word contains a  $\lambda$ , every subword is an argument of some  $\lambda$ .
- No  $\alpha_i$  appears with i > arity.
- No  $x_i$  occurs with  $i > alphabet\_size$ .

Calling this function does not modify *letters*. The argument *letters* need not be in standard form.

```
>>> w = from_string('a1 x a2 L'); w
(-1, 1, -2, 0)
>>> validate(w, (2, 1))
Traceback (most recent call last):
        . . .
ValueError: The first letter (a1) should be an x.
>>> validate(from_string('x1 a2 x12 a1 a2 L'), (2, 4))
Traceback (most recent call last):
       . . .
IndexError: Letter x12 at index 2 is not in the alphabet (maximum is x4).
>>> validate(from_string('x1 a1 a2 a3 a4 a5 x2 a2 L'), (3, 2))
Traceback (most recent call last):
        . . .
IndexError: Letter a4 at index 4 is not in the alphabet (maximum is a3).
>>> validate(from_string('x1 a2 L'), (4, 1))
Traceback (most recent call last):
        . . .
ValueError: Letter L at index 2 is invalid. Check that lambda has 4 arguments.
>>> validate(from_string('x1 x1 L x1 L x1'), (2, 1))
Traceback (most recent call last):
        . . .
ValueError: Word is invalid: valency is 2 (should be 1).
```

#### Raises

- **ValueError** if the first letter is not an  $x_i$ .
- **IndexError** if this word contains an  $x_i$  with *i* outside of the range 1 . . . *alphabet\_size*
- **IndexError** if this word contains an  $\alpha_i$  outside of the range 1 ... arity
- **IndexError** if this word is empty (i.e. consists of 0 letters).
- ValueError if this word fails the valency test.

#### See also:

Proposition 2.12 of the paper for the valency test.

thompson.word.standardise(letters, signature, tail=())

Accepts a valid word as a tuple of *letters* and reduces it to standard form. The result—a new tuple of letters—is returned. The *signature* must be given so we know how many arguments to pass to each  $\lambda$ . The *tail* argument is used internally in recursive calls to this function and should be omitted.

In the examples below, the *Word* class standardises its input before creating a Word.

```
>>> #Extraction only
>>> print(Word("x a1 a2 a1 x a2 a1 L a1 a2", (2, 1)))
x1 a1 a2 a1 a2
>>> #Contraction only
```

```
>>> print (Word ("x2 a2 a2 a1 x2 a2 a2 a2 L", (2, 2)))
x2 a2 a2
>>> #Contraction only, arity 3
>>> print(Word("x1 a1 a1 x1 a1 a2 x1 a1 a3 L", (3, 2)))
x1 a1
>>> #Both extraction and contraction
>>> print(Word("x al a2 al al x al a2 al x a2 al L al a2 al x al a2 al a2 a2 L L",
\leftrightarrow (2, 1))
x1 a1 a2 a1
>>> #Lambda concatenation
>>> print(Word("x al a2 al x al a2 al x a2 al L al a2 al x al a2 al a2 a2 L L",
\rightarrow (2, 1))
x1 a1 a2 a1 x1 a1 a2 a1 a2 L
>>> #A mix of contraction and extraction
>>> print(Word("x a2 x a1 L x a1 L a1 a2 a1 a2", (2, 1)))
x1 a1 a1 a2
>>> #Can't contract different x-es
>>> print(Word('x1 a1 x2 a2 L', (2, 2)))
x1 a1 x2 a2 L
>>> #Something meaty, arity 3
>>> print (Word ('x1 a1 x1 a2 x1 a3 L a2 a1 a3 x1 a2 a1 x2 a1 x1 a1 a1 x1 a1 a2 x2,
→L x1 a2 L a2 a1 a1 L a3 a3 a2', (3, 2)))
x1 a1 a1 a1 a3 a2
```

#### Raises

- **TypeError** if *letters* is not a tuple of integers.
- IndexError if letters describes an invalid word.

Return type tuple of integers.

#### See also:

Remark 3.3 of the paper.

thompson.word.lambda\_arguments (word, signature=None)

This function takes a *valid* word which ends in a  $\lambda$ , and returns the arguments of the rightmost  $\lambda$  as a list of *Words*.

If word is given as a Word instance, then signature may be omitted. Otherwise the signature should be provided.

```
>>> w = Word('x al a2 x a2 a2 L x al al L', (2, 1))
>>> subwords = lambda_arguments(w)
>>> for subword in subwords: print(subword)
xl al a2 xl a2 a2 L
xl al al
>>> w = 'x x x x al x L x al x a2 x L L x al a2 x L x al a2 x a2 al x L L'
>>> subwords = lambda_arguments(Word(w, (3, 1)))
>>> for subword in subwords: print(subword)
xl
xl xl al xl L xl al xl a2 xl L L xl al a2 xl L
xl al a2 xl a2 al xl L
```

#### Raises

- **IndexError** if *word* is an empty list of letters.
- **ValueError** if the last letter in *word* is not a lambda.

• **TypeError** – if no arity is provided and *word* has no arity attribute.

Return type list of Words.

```
thompson.word.are_contractible(words)
```

Let *words* be a list of words, either as sequences of integers or as full *Word* objects. This function tests to see if *words* is a list of the form  $(w\alpha_1, \ldots, w\alpha_n)$ , where n = len(words).

**Returns** w (as a tuple of integers) if the test passes; the empty tuple if the test fails.

**Warning:** If there is a prefix w, this function does **not** check to see if

- w is a valid word, or
- *n* is the same as the arity of the context we're working in.

thompson.word.free\_monoid\_on\_alphas(arity)

An infinite iterator which enumerates the elements of  $A^*$  in shortlex order.

```
>>> for i, gamma in enumerate(free_monoid_on_alphas(4)):
... if i >= 10: break
... print(format(gamma))
<the empty word>
a1
a2
a3
a4
a1 a1
a1 a2
a1 a3
a1 a4
a2 a1
```

thompson.word.root (sequence)

Given a sequence  $\Gamma \in A^*$ , this function computes the root  $\sqrt{\Gamma} \in A^*$  and the root power  $r \in \mathbb{N}$ . These are objects such that  $(\sqrt{\Gamma})^r = \Gamma$ , and r is maximal with this property.

**Returns** the pair  $(\sqrt{\Gamma}, r)$ 

>>> root('abababab')
('ab', 4)
>>> root([1, 2, 3, 1, 2, 3])
([1, 2, 3], 2)

#### See also:

The discussion following Corollary 6.7 in the paper.

## 3.3.4 The Word class

It's important to know when a sequence of letters denotes a word in standard form. The *Word* class addresses this problem by standardising its input upon creation. We can think of a Word object as a fixed list of letters with the guarantee that it is in standard form. Words are also given a *Signature* at creation time, so that we know which algebra the word comes from.

We also need to know that once a Word has been created, it cannot be modified to something not in standard form. We achieve this simply by making it impossible to modify a Word. Words are implemented as (subclasses of) tuple, which are immutable. One useful side effect of this is that Words can be used as dictionary keys.<sup>2</sup>

```
>>> w = Word('x1 a1', (2, 1))
>>> x = {}; x[w] = 'stored value'
>>> x[w]
'stored value'
>>> #Can also use the underlying tuple as a key
>>> x[1, -1]
'stored value'
>>> #the reason this works
>>> hash(w) == hash((1, -1))
True
```

class thompson.word.Word

#### Variables

- **signature** the signature of the algebra this word belongs to.
- **lambda\_length** the number of times the contraction symbol  $\lambda$  occurs in this word after it has been written in standard form.

#### static \_\_\_\_new\_\_\_(cls, letters, signature, preprocess=True)

Creates a new Word consisting of the given *letters* belonging the algebra with the given *signature*. The *letters* may be given as a list of integers or as a string (which is passed to the *from\_string()* function). The signature can be given as a tuple or a fully-fledged *Signature*.

>>> Word("x2 a1 a2 a3 x1 a1 a2 a1 x2 L a2", (3, 2))
Word('x1 a1 a2 a1', (3, 2))

By default, the argument *preprocess* is True. This means that words are *validated* and *reduced* to standard form before they are stored as a tuple. These steps can be disabled by using preprocess=False. This option is used internally when we *know* we have letters which are already vaild and in standard form.

**Raises ValueError** – See the errors raised by validate().

#### address (include\_root=False)

A shorthand to format\_cantor() for printing simple words. By default, it discards the the root  $x_i$ .

```
>>> w = Word("x2 a1 a3 a1 a1 a2", (3, 4))
>>> w.address()
'02001'
>>> Word("x2 a1 a3 a1 a1 a2", (3, 4)).address(include_root=True)
'x202001'
```

\_\_\_lt\_\_(other)

<sup>&</sup>lt;sup>2</sup> This made it so much easier to cache the images of homomorphisms, because I could just use a vanilla Python dictionary.

Let us assign a total order to the alphabet  $X \cup \Omega$  by declaring:

$$\alpha_1 < \dots < \alpha_n < x_1 < \dots < x_r < \lambda$$

We can use this to order *simple* words by using dictionary order.

```
>>> Word('x1', (2, 2)) < Word('x1', (2, 2))
False
>>> Word('x1', (2, 2)) < Word('x2', (2, 2))
True
>>> Word('x1 a2', (2, 2)) < Word('x1 a1', (2, 2))
False
>>> Word('x1 a1 a2 a3 a4', (4, 1)) < Word('x1 a1 a2 a3 a3', (4, 1))
False</pre>
```

We extend this to non-simple words in standard form in the following way. Let  $\lambda(u)$  denote the lambda-length of u.

1. If  $\lambda(u) < \lambda(v)$ , then u < v.

```
>>> Word('x2 x1 L', (2, 2)) < Word('x1 x2 x1 L L', (2, 2))
True
```

2. If u = v, then neither is strictly less than the other.

```
>>> Word('x1 x2 L', (2, 2)) < Word('x1 x2 L', (2, 2))
False
```

- 3. Otherwise  $u \neq v$  are different words with the same  $\lambda$ -length. Break both words into the *n* arguments of the outmost lambda, so that  $u = u_1 \dots u_n \lambda$  and  $v = v_1 \dots v_n \lambda$ , where each subword is in standard form.
- 4. Let *i* be the first index for which  $u_i \neq v_i$ . Test if  $u_i < v_i$  by applying this definition recursively. If this is the case, then u < v; otherwise, u > v.

The other three comparison operators  $(\langle =, \rangle, \rangle =)$  are also implemented.

```
>>> Word('x1 x2 L', (2, 2)) <= Word('x1 x2 L', (2, 2))
True
>>> Word('x1 a1', (2, 2)) <= Word('x1 a1', (2, 2)) <= Word('x1 a1 a2', (2, 2))
True
>>> Word('x1', (2, 2)) >> Word('x1', (2, 2))
True
>>> Word('x1 a2', (2, 2)) >> Word('x1', (2, 2))
True
>>> Word('x1 a2', (2, 2)) >> Word('x1', (2, 2))
True
```

**Todo:** I think this is a total order—try to prove this. I based the idea on [Zaks] (section 2, definition 1) which describes a total order on k-ary trees. On another note, isn't this similar to shortlex order?

#### is\_simple()

Let us call a Word *simple* if it has a lambda length of zero once it has been reduced to standard form.

```
>>> Word("x1 a1 a2", (2, 1)).is_simple()
True
>>> Word("x1 a1 a2 x2 a2 L", (2, 2)).is_simple()
False
>>> #Simplify a contraction
>>> Word("x1 a1 a1 x1 a1 a2 L", (2, 1)).is_simple()
True
>>> #Lambda-alpha extraction
>>> Word("x1 x2 L a2", (2, 2)).is_simple()
True
```

#### is\_above (word)

*Tests* to see if the current word is an initial segment of *word*. Returns True if the test passes and False if the test fails.

```
>>> w = Word('x1 a1', (2, 2))
>>> w.is_above(Word('x1 a1 a1 a2', (2, 2)))
True
>>> w.is_above(Word('x1', (2, 2)))
False
>>> w.is_above(w)
True
>>> v = Word('x1 a1 x1 a2 L', (2, 2))
>>> w.is_above(v)
False
>>> v.is_above(w)
True
```

#### is\_above\_set (generators)

#### test\_above (word)

Tests to see if the current word c is an initial segment of the given word w. In symbols, we're testing if  $w = c\Gamma$ , where  $\Gamma \in \langle A \rangle$  is some string of alphas only.

The test returns  $\Gamma$  (as a tuple of integers) if the test passes; note that  $\Gamma$  could be the empty word 1 (if c = w). If the test fails, returns None.

```
>>> c = Word('x1 a1', (2, 2))
>>> c.test_above(Word('x1 a1 a1 a2', (2, 2)))
(-1, -2)
>>> c.test_above(Word('x1', (2, 2))) is None
True
>>> c.test_above(c)
()
>>> w = Word('x1 a2 x1 a1 L', (2, 2))
>>> print(c.test_above(w))
None
>>> w.test_above(c)
(-2,)
>>> v = Word('x1 a2 x1 a1 L x1 a2 a2 L x1 a2 x1 a1 L L', (2, 2))
```

```
>>> print(c.test_above(v))
None
>>> #There are two possible \Gamma values here; only one is returned.
>>> v.test_above(c)
(-1, -1, -2)
```

Note: There may be many different values of  $\Gamma$  for which  $w = c\Gamma$ ; this method simply returns the first such  $\Gamma$  that it finds.

#### max\_depth\_to(basis)

Let w be the current word and let X be a *basis*. Choose a (possibly empty) string of alphas  $\Gamma$  of length  $s \ge 0$  at random. What is the smallest value of s for which we can guarantee that  $w\Gamma$  is below X, i.e. in  $X\langle A \rangle$ ?

```
>>> from thompson.generators import Generators
>>> basis = Generators.standard_basis((2, 1)).expand(0).expand(0).expand(0)
>>> basis
Generators((2, 1), ['x1 al al al', 'x1 al al a2', 'x1 al a2', 'x1 a2'])
>>> Word('x', (2, 1)).max_depth_to(basis)
3
>>> Word('x a1', (2, 1)).max_depth_to(basis)
2
>>> Word('x a2 x al L x1 al L', (2, 1)).max_depth_to(basis)
4
>>> Word('x a2', (2, 1)).max_depth_to(basis)
0
```

**Warning:** If *basis* doesn't actually generate the algebra we're working in, this method could potentially loop forever.

#### as\_interval()

Returns a pair (*start, end*) of Fractions which describe the interval  $I \subseteq [0, 1]$  that this word corresponds to.

```
>>> def print_interval(w, s):
        start, end = Word(w, s).as_interval()
. . .
        print('[{}, {}]'.format(start, end))
. . .
. . .
>>> print_interval('x a1 a2 a1 x L', (2, 1))
Traceback (most recent call last):
. . .
ValueError: The non-simple word x1 a1 a2 a1 x1 L does not correspond to a_
\rightarrow interval.
>>> print_interval('x1', (2, 1))
[0, 1]
>>> print_interval('x1', (3, 1))
[0, 1]
>>> print_interval('x1', (4, 2))
[0, 1/2]
>>> print_interval('x1 a1', (2, 1))
[0, 1/2]
>>> print_interval('x1 a1', (3, 1))
```

```
[0, 1/3]
>>> print_interval('x1 a1', (4, 2))
[0, 1/8]
>>> print_interval('x1 a2 a1 a2', (2, 1))
[5/8, 3/4]
>>> print_interval('x1 a2 a1 a2', (3, 1))
[10/27, 11/27]
>>> print_interval('x1 a2 a1 a2', (4, 2))
[17/128, 9/64]
```

```
>>> from thompson.examples import random_simple_word
>>> s, e = random_simple_word().as_interval(); 0 <= s < e <= 1
True</pre>
```

**Raises ValueError** – if the word is not simple.

#### static ray\_as\_rational(base, spine)

Converts the infinite string base spine $^{\infty}$  to a rational in the unit interval.

```
>>> Word.ray_as_rational(Word("x", (2,1)), from_string("a1 a2"))
Fraction(1, 3)
>>> Word.ray_as_rational(Word("x a1", (2,1)), from_string("a2 a1"))
Fraction(1, 3)
```

#### **alpha** (*index*)

Let w stand for the current word. This method creates and returns a new word  $w\alpha_{index}$ .

```
>>> Word("x a1 a2", (3, 2)).alpha(3)
Word('x1 a1 a2 a3', (3, 2))
```

**Raises IndexError** – if *index* is not in the range 1... *arity*.

#### extend(tail)

Concatenates the current word with the series of letters *tail* to form a new word. The argument *tail* can be given as either a string or a tuple of integers.

```
>>> Word('x1 a2 a1', (2, 1)).extend('a2 a2')
Word('x1 a2 a1 a2 a2', (2, 1))
>>> Word('x1 a2 a1', (2, 1)).extend('x1 a2 a2')
Traceback (most recent call last):
...
ValueError: Word is invalid: valency is 2 (should be 1).
>>> Word('x1 a2 a1', (2, 1)).extend('x1 a2 a2 L')
Word('x1 a2', (2, 1))
```

#### expand()

Returns an iterator that yields the arity descendants of this word.

```
>>> w = Word("x a1", (5, 1))
>>> for child in w.expand():
... print(child)
x1 a1 a1
x1 a1 a2
x1 a1 a3
```

```
x1 a1 a4
x1 a1 a5
>>> w = Word("x a1 a1 x a2 a1 L", (2, 1))
>>> for child in w.expand():
... print(child)
x1 a1 a1
x1 a2 a1
```

Return type iterator which yields Words.

#### split (head\_width)

Splits the current word w into a pair of tuples *head*, *tail* where len(head) == head\_width. The segments of the word are returned as tuples of integers, i.e. not fully fledged *Words*.

**Raises IndexError** - if *head\_width* is outside of the range 0 <= head\_width <= len(w).

```
>>> Word('x1 a1 a2 a3 a1 a2', (3, 1)).split(4)
((1, -1, -2, -3), (-1, -2))
>>> Word('x1 a1 a2 a3 a1 a2', (3, 1)).split(10)
Traceback (most recent call last):
....
IndexError: The head width 10 is not in the range 0 to 6.
```

#### rsplit (tail\_width)

The same as *split()*, but this time *tail\_width* counts from the right hand side. This means that len(tail) == tail\_width.

**Raises IndexError** - if *tail\_width* is outside of the range 0 <= tail\_width <= len(w).

```
>>> Word('x1 a1 a2 a3 a1 a2', (3, 1)).rsplit(4)
((1, -1), (-2, -3, -1, -2))
>>> Word('x1 a1 a2 a3 a1 a2', (3, 1)).rsplit(10)
Traceback (most recent call last):
...
IndexError: The tail width 10 is not in the range 0 to 6.
```

#### shift (delta=1, signature=None)

Takes a simple word  $x_i\Gamma$  and returns the word  $x_{i+\delta}\Gamma$ . The target word has signature  $(n, r + \delta)$  by default where (n, r) is the current word's signature. This can be overridden by passing in a *signature*.

```
>>> w = Word("x1 a1 a2 x1 a2 x2 L", (3, 2))
>>> w.shift()
Traceback (most recent call last):
...
ValueError: Can only shift simple words.
>>> v = Word("x1 a2 a3", (3, 1))
>>> v.shift()
Word('x2 a2 a3', (3, 2))
```

#### Raises

- **ValueError** if *delta* is not a positive integer.
- **ValueError** if the given word is not *simple*

#### subwords (discard\_root=False)

An iterator method which yields the anscessors of a simple word. Use discard\_root if you want to ignore words which correspond to  $x_i$ .

```
>>> w = Word("x1 a1 a2 a1 a2", (2, 1))
>>> print( *w.subwords(), sep="\n")
x1
x1 a1
x1 a1
x1 a1 a2
x1 a1 a2 a1
x1 a1 a2 a1 a2
>>> print( *w.subwords(discard_root=True), sep="\n")
x1 a1
x1 a1 a2
x1 a1 a2
x1 a1 a2 a1
x1 a1 a2 a1
x1 a1 a2 a1
x1 a1 a2 a1 a2
```

#### 3.3.5 Next steps

Now that we can work with words in  $V_{n,r}$ , we need to be able to work with *collections* of words. The *generators* module will let us treat a list of words as a generating set and examine the subalgebra that the collection generates.

## 3.4 Generating sets and bases

Let S be a set of words in  $V_{n,r}$ . We can obtain new words by applying the operations  $\alpha_i$  and  $\lambda$  to elements of S. Next, we can apply the operations to the new words we've received. This process continues indefinitely, producing a set of words T whose elements are sourced from the original set S. We call T the subalgebra generated by S and say that S generates T.

Of particular interest are *bases*, which we can think of as generating sets which do not contain any redundant elements. For example, it would be pointless to include each of x,  $x\alpha_1$  and  $x\alpha_2$  in S, as the first can be obtained from the last two and vice versa.

#### 3.4.1 The Generators class

```
class thompson.generators.Generators (signature, generators=None)
```

An ordered subset of  $V_{n,r}$ , together with methods which treat such sets as generating sets and bases. Internally, this is a subclass of list, so it is possible to reorder and otherwise modify collections of Generators.

Variables signature – The Signature of the algebra this set belongs to.

\_\_init\_\_ (signature, generators=None)

When creating a generating set, you must specify the algebra  $V_{n,r}$  it is a subset of. A list of generators can optionally be provided. Each generator is passed to append ().

append(w)

Adds w to this generating set. If w is a string, a *Word* object is created and assigned the same *arity* and *alphabet\_size* as the generating set.

Raises

- **TypeError** if w is neither a string nor a Word.
- **IndexError** if *w* has a different arity to the generating set.

- **IndexError** if *w* has a larger alphabet\_size the generating set.
- **ValueError** if *w* is already contained in this generating set.

**extend** (*iterable*)  $\rightarrow$  None – extend list by appending elements from the iterable

#### \_\_\_eq\_\_(other)

Two Generators instances are equal iff they have the same signature and the same elements in the same order.

```
>>> x = random_basis(signature=(3, 2))
>>> y = x.embed_in((4, 3))
>>> x == y #Different signatures, so False
False
>>> list.__eq__(x, y) #Even though they have the same elements
True
```

#### copy()

We override list.copy() so that we don't have to recreate Generators instances by hand.

```
>>> olga_f = load_example('olga_f')
>>> X = olga_f.quasinormal_basis.copy()
>>> X is olga_f.quasinormal_basis
False
>>> type(X).__name___
'Generators'
```

#### filter(func)

Creates a copy of the current generating set whose elements x are those for which func(x) is True. The original generating set is unmodified, and the order of elements is inherited by the filtered copy.

```
>>> X = load_example('olga_f').quasinormal_basis
>>> print(X)
[x1 a1 a1, x1 a1 a2, x1 a2 a1, x1 a2 a2 a1, x1 a2 a2 a2]
>>> def test(x):
... return len(x) % 2 == 0
...
>>> print(X.filter(test))
[x1 a2 a2 a1, x1 a2 a2 a2]
```

#### test\_free()

Tests to see if the current generating set X is a free generating set. To do so, the words contained in X must all be *simple*.

If the test fails, returns the first pair of indices (i, j) found for which  $X_i$  is above  $X_j$ . If the test passes, returns (-1, -1).

```
>>> g = Generators((2, 3), ["x1 a1", "x1 a2 a1", "x1 a2 a1 a1", "x1 a2 a2"])
>>> g.test_free()
(1, 2)
>>> print(g[1], g[2], sep='\n')
x1 a2 a1
x1 a2 a1 a1
>>> g = Generators((2, 1), ['x1 a2 a2', 'x1 a2 a1 x1 a2 a2 a2 a1 L x1 a1 L'])
>>> g.test_free()
Traceback (most recent call last):
...
ValueError: Cannot test for freeness unless all words are simple.
```

Raises ValueError – if any word in the basis is not simple.

See also:

Lemma 3.16 of the paper.

#### is\_free()

Returns True if this is a free generating set; otherwise False.

```
>>> g = Generators((2, 3), ['x1', 'x2']);
>>> g.is_free()
True
>>> g.append('x3'); g.is_free()
True
>>> g.append('x2 a1'); g.is_free()
False
```

#### preorder\_traversal()

Yields words as if traversing the vertices of a tree in pre-order.

#### simple\_words\_above()

An iterator that yields the simple words which can be obtained by contracting this basis  $n \ge 0$  times. (So the 'above' condition is not strict.)

#### test\_generates\_algebra()

Tests to see if this set generates all of  $V_{n,r}$ . The generating set is sorted, and then contracted as much as possible. Then we check to see which elements of the *standard basis*  $x = \{x_1, \ldots, x_r\}$  are not included in the contraction.

The test fails if there is at least one such element; in this case, the list of all such elements is returned. Otherwise the test passes, and an empty list is returned.

Return type a list of Words.

#### generates\_algebra()

Returns True if this set generates all of  $V_{n,r}$ . Otherwise returns False.

```
>>> from random import randint
>>> arity = randint(2, 5); alphabet_size = randint(2, 10)
>>> Y = Generators.standard_basis((arity, alphabet_size))
>>> Y.generates_algebra()
True
>>> random_basis().generates_algebra()
True
```

#### test\_above (word, return\_index=False)

Searches for a pair (gen, tail) where gen belongs to the current basis and gen + tail = word. If no such pair exists, returns None.

If *return\_index* is False, returns the pair (*gen, tail*). Otherwise, returns the pair (*i, tail*) where *i* is the index of *gen* in the current basis.

```
>>> basis = Generators.standard_basis((2, 1)).expand(0).expand(0).expand(0)
>>> basis
Generators((2, 1), ['x1 al al al', 'x1 al al a2', 'x1 al a2', 'x1 a2'])
>>> gen, tail = basis.test_above(Word('x1 a2 a2 a1', (2, 1)))
>>> print(gen, '|', format(tail))
x1 a2 | a2 a1
>>> i, tail = basis.test_above(Word('x1 a2 a2 a1', (2, 1)), return_index=True)
>>> print(basis[i])
x1 a2
>>> basis.test_above(Word('x1', (2,1))) is None
True
>>> gen, tail = basis.test_above(basis[0])
>>> print(gen)
x1 a1 a1 a1
>>> gen is basis[0] and len(tail) == 0
True
```

#### is\_above (word)

Returns True if any generator *is\_above()* the given word.

```
>>> g = Generators((2, 2), ['x1 a1', 'x1 a2', 'x2'])
>>> g.is_above(Word('x1 a1 a1 a2', (2, 2)))
True
>>> g.is_above(Word('x1', (2, 2)))
False
>>> g.is_above(Word('x2', (2, 2)))
True
>>> g.is_above(Word('x1 a1 x1 a2 L', (2, 2)))
False
```

#### is\_basis()

Returns True if this is a free generating set which generates all of  $V_{n,r}$ . Otherwise returns False.

```
>>> g = Generators((2, 2), ["x1 a1", "x1 a2"])
>>> g.is_free()
True
>>> g.generates_algebra()
False
>>> g.is_basis()
```

```
False
>>> g.append('x2')
>>> g.is_basis()
True
>>> random_basis().is_basis()
True
```

#### descendants\_above (floor)

Suppose we have a basis *floor* below the current basis *ceiling*. There are a finite number of elements below *ceiling* which are not below *floor*. This method enumerates them. In symbols, we are enumerating the set  $X\langle A \rangle \setminus F\langle A \rangle$ , where X is the current basis and F is the *floor*.

```
>>> phi = load_example('example_5_15')
>>> X = phi.quasinormal_basis
>>> Y = X.minimal_expansion_for(phi)
>>> Z = phi.image_of_set(Y)
>>> terminal = X.descendants_above(Y)
>>> initial = X.descendants_above(Z)
>>> print(initial, terminal, sep='\n')
[x1 a1, x1 a1 a1]
[x1 a2 a2, x1 a2 a2 a1]
```

#### classmethod standard\_basis (signature)

Creates the standard basis  $x = \{x_1, \dots, x_r\}$  for  $V_{n,r}$ , where n is the arity and r is the alphabet\_size of the signature.

```
>>> Generators.standard_basis((2, 4))
Generators((2, 4), ['x1', 'x2', 'x3', 'x4'])
```

#### classmethod from\_dfs(string)

Creates bases correponding to binary trees via a string of 1s and 0s correponding to branches and carets respectively. See *from\_dfs()*. The *string* can also be specified as a int, in which case it is replaced by its base 10 string representation.

```
>>> print(Generators.from_dfs("100"))
[x1 a1, x1 a2]
>>> print(Generators.from_dfs(1100100)) #ints are fine too
[x1 a1 a1, x1 a1 a2, x1 a2 a1, x1 a2 a2]
```

**Raises ValueError** – if the *string* doesn't correctly describe a rooted binary tree.

#### minimal\_expansion\_for(\*automorphisms)

Suppose we are given a finite sequence of *automorphisms* of  $V_{n,r}$  and that the current generaeting set is a basis X for  $V_{n,r}$ . This methods returns an expansion Y of X such that each automorphism maps Y into  $X\langle A \rangle$ .

```
>>> std_basis = Generators.standard_basis((2, 1))
>>> reduced_domain = Generators((2, 1), ["x al al", "x al a2 al", "x al a2 a2
o", "x a2 al", "x a2 a2"])
>>> std_basis.minimal_expansion_for(load_example('cyclic_order_six')) ==_
oreduced_domain
True
```

#### Raises

- ValueError if no automorphisms are passed to this method.
- ValueError if the automorphisms or basis do not all belong to the same group G<sub>n.r</sub>.
- **ValueError** if the given basis does not generate  $V_{n,r}$ .

#### See also:

Lemma 4.3 of the paper proves that this expansion exists, is of minimal size, and is unique with this that property.

#### embed\_in (signature, shift=0)

Creates a copy of the current generating set in the algebra determined by signature.

**Raises ValueError** – if the current signature's algebra is not a subset of the given signature's algebra.

```
>>> x = Generators.standard_basis((2, 1)).expand(0); x
Generators((2, 1), ['x1 a1', 'x1 a2'])
>>> y = x.embed_in((3, 2)); y
Generators((3, 2), ['x1 a1', 'x1 a2'])
>>> z = x.embed_in((3, 2), shift=1); z
Generators((3, 2), ['x2 a1', 'x2 a2'])
>>> print(y.signature, y[0].signature)
(3, 2) (3, 2)
>>> x.is_basis()
True
>>> y.is_basis()
False
```

#### **expand** (*index*)

Replaces the word w at index *index* in the current generating set with its children,  $w\alpha_1, \ldots, w\alpha_n$ , where n is the arity of the generating set. As with ordinary Python lists, negative values of *index* are supported.

```
>>> g = Generators.standard_basis((3, 1)); g
Generators((3, 1), ['x1'])
>>> g.expand(0)
Generators((3, 1), ['x1 a1', 'x1 a2', 'x1 a3'])
```

```
>>> g #has been modified
Generators((3, 1), ['x1 a1', 'x1 a2', 'x1 a3'])
>>> g.expand(-2) #expand at the second entry from the right, i.e. at 'x1 a2'
Generators((3, 1), ['x1 a1', 'x1 a2 a1', 'x1 a2 a2', 'x1 a2 a3', 'x1 a3'])
```

**Raises IndexError** – if there is no generator at index *index*.

**Returns** the current generating set, after modification.

#### expand\_away\_lambdas()

Experimental method to recursively (and inefficiently) expand a generating set until every word has lambda-length 0.

#### classmethod sort\_mapping\_pair(domain, range)

Makes copies of the given lists of words *domain* and *range*. The copy of *domain* is sorted according to the *order* defined on words. The same re-ordering is applied to the *range*, so that the mapping from *domain* to *range* is preserved.

**Return type** A pair of class: *Generator* instances.

#### expand\_to\_size(size)

Expands the current generating set until it has the given *size*. The expansions begin from the end of the generating set and work leftwards, wrapping around if we reach the start. (This is to try and avoid creating long words where possible.)

Expanding a basis to its current size does nothing.

```
>>> b1 = random_basis()
>>> b2 = b1.copy()
>>> b2.expand_to_size(len(b1))
>>> b1 == b2
True
```

If expansion to the target size is not possible, a ValueError is raised.

```
>>> basis = Generators.standard_basis((3,2))
>>> len(basis)
2
>>> basis.expand_to_size(3)
Traceback (most recent call last):
....
ValueError: Cannot expand from length 2 to length 3 in steps of size 2.
>>> basis.expand_to_size(4)
>>> len(basis)
4
>>> basis.expand_to_size(1)
Traceback (most recent call last):
....
ValueError: Cannot expand from length 4 to length 2 in steps of size 2.
```

#### cycled(positions=1)

Produces a copy of the current generating set, cyclicly shifted by a number of *positions* to the right.

```
>>> phi = load_example('example_5_15')
>>> print(phi.domain)
[x1 a1, x1 a2 a1, x1 a2 a2 a1 a1, x1 a2 a2 a1 a2, x1 a2 a2 a2]
>>> print(phi.domain.cycled(2))
[x1 a2 a2 a1 a1, x1 a2 a2 a1 a2, x1 a2 a2 a2, x1 a1, x1 a2 a1]
```

```
>>> X = random_basis()
>>> X == X.cycled(0) == X.cycled(len(X))
True
```

#### thompson.generators.rewrite\_set (set, basis, new\_basis)

Maps *set* into the algebra generated by *new\_basis* according to the bijection between *basis* and *new\_basis*. See also rewrite\_word().

#### thompson.generators.rewrite\_word(word, basis, new\_basis)

Suppose that we have a *word* which is below a given *basis*. Suppose we have a bijection between *basis* and some image *new\_basis*. Then we can rewrite *word* as as descendant of *new\_basis* in a manner which is compatible with this bijection.

#### Raises

- ValueError if *basis* is not above *word*.
- **ValueError** if *basis* and *new\_basis* have different sizes.

### 3.4.2 Next steps

Suppose we have a map  $f: V_{n,r} \to V_{n',r'}$ . If this is just a set map then we would have to specify the image of every word in  $V_{n,r}$ . However, if f preserves structure then it is sufficient to specify the image of a generating set—or even better, a basis—for  $V_{n,r}$ . Such maps f are called *homomorphisms*. The next module describes homomorphisms in terms of the image of a given basis.

## 3.5 Homomorphisms

The algebra of *words* has its own structure, and just like groups, rings etc. there exist homomorphisms which preserve this structure. In our specific case, a homomorphism  $\phi : V_{n,r} \to V_{n,s}$  is a function satisfying

 $w\alpha_i\phi = w\phi\alpha_i$  and  $w_1\dots w_n\lambda\phi = aw_1\phi\dots w_n\phi\lambda$ .

In other words, a homomorphism is a function which 'commutes' with the algebra operations  $\alpha_i$  and  $\lambda$ .

#### 3.5.1 The Homomorphism Class

**class** thompson.homomorphism.**Homomorphism**(*domain*, *range*, *reduce=True*)

Let  $f: D \to R$  be some map embedding a basis D for  $V_{n,r}$  into another algebra  $V_{n,s}$  of the same Signature. This map uniquely extends to a homomorphism of algebras  $\psi: V_{n,r} \to V_{n,s}$ .

Variables

- domain a basis of preimages
- **range** a *basis* of images.

The next few attributes are used internally when constructing free factors. We need to have a way to map back to the parent automorphisms.

#### Variables

- domain\_relabeller the homomorphism which maps relabelled words back into the original algebra that this automorphism came from.
- range\_relabeller the same.

\_\_\_init\_\_\_(domain, range, reduce=True)

Creates a homomorphism with the specified *domain* and *range*. Sanity checks are performed so that the arguments do genuinely define a basis.

By default, any redudancy in the mapping is reduced. For example, the rules x1 a1 a1 -> x1 a2a1 and x1 a1 a2 -> x1 a2 a2 reduce to the single rule x1 a1 -> x1 a2. The *reduce* argument can be used to disable this. This option is intended for internal use only.<sup>1</sup>

#### Raises

- **TypeError** if the bases are of different sizes.
- **TypeError** if the algebras described by *domain* and *range* have different arities.
- ValueError if domain is not a basis.

#### classmethod from\_file (filename)

Reads in a file specifying a homomorphism and returns the homomorphism being described. Here is an example of the format:

```
5
(2, 1)
                ->
                         (2, 1)
x1 a1 a1 a1
                ->
                         x1 a1 a1
x1 a1 a1 a2
                ->
                         x1 a1 a2 a1
x1 a1 a2
                ->
                         x1 a1 a2 a2
x1 a2 a1
                         x1 a2 a2
                ->
x1 a2 a2
                ->
                         x1 a2 a1
This is an example intended to illustrate the format for reading and writing,
→automorphisms to disk.
                                                                   (continues on next page)
```

<sup>1</sup> Sometimes we have to expand *free factors* so that the orbit sizes match up.

There are three components

- number k of generators in domain and range
- signatures of domain and range
- list of k rules domain -> range

Todo: Should use a different attribute (.comment?) rather than \_\_doc\_\_.

```
classmethod from_string(string)
```

An alternative *from\_file()* for working with examples that you might want to copy and paste somewhere. *string* should be a Python string which describes an automorphism in the same format as in *from\_file()*.

#### static next\_non\_comment\_line (file)

#### save\_to\_file (filename=None, comment=None)

Takes a homomorphism and saves it to the file with the given *filename*. The homomorphism is stored in a format which is compatible with *from\_file()*. Optionally, a *comment* may be appended to the end of the homomorphism file.

```
>>> before = random_automorphism()
>>> before.save_to_file('test_saving_homomorphism.aut')
>>> after = Automorphism.from_file('test_saving_homomorphism.aut')
>>> before == after, before is after
(True, False)
```

#### \_\_eq\_\_(other)

We can test for equality of homomorphisms by using Python's equality operator ==. The Python integer 1 can be used as a shorthand for the identity of the current homomorphism's algebra.

```
>>> phi = random_automorphism()
>>> phi == phi
True
>>> phi * ~phi == 1
True
>>> 1 == Homomorphism.identity(phi.signature)
True
```

#### \_\_mul\_\_(other)

If the current automorphism is  $\psi$  and the *other* is  $\phi$ , multiplication forms the composition  $\psi\phi$ , which maps  $x \mapsto x\psi \mapsto (x\psi)\phi$ .

**Raises TypeError** – if the homomorphisms cannot be composed in the given order; i.e. if self.range.signature != other.domain.signature.

**Return type** an *MixedAut* if possible; otherwise a *Homomorphism*.

```
(continues on next page)
```

```
      x1
      a1
      ->
      x1
      a1

      x1
      a2
      ->
      x1
      a2
      a3
      a3

      x1
      a3
      a1
      ->
      x1
      a3
      a3

      x1
      a3
      a2
      ->
      x1
      a2
      a2

      x1
      a3
      a2
      ->
      x1
      a2
      a2

      x1
      a3
      a3
      ->
      x1
      a2
      a1

      x2
      a1
      ->
      x2
      x2
      x2
      a2
      x1
      a2
      a3
      a2

      x2
      a2
      ->
      x1
      a2
      a3
      a2
      a3
      a2

      x2
      a3
      ->
      x1
      a2
      a3
      a2
      a3

      x2
      a3
      ->
      x1
      a2
      a3
      a1
```

#### classmethod identity(signature)

Creates a new homo/automorphism which is the identity map on the algebra with the given signature.

#### is\_identity()

Returns True if this automorphism is the identity map on the algebra with the given *signature*. Otherwise returns False.

```
>>> aut = Homomorphism.identity(random_signature())
>>> aut.is_identity()
True
>>> load_example('example_5_15').is_identity()
False
```

image (key, sig\_in=None, sig\_out=None, cache=None)

Computes the image of a key under the given homomorphism. The result is cached for further usage later.

The key must be given as one of:

- a string to be passed to from\_string();
- a sequence of integers (see the word module); or
- a Word instance.

Note: All other arguments are intended for internal use only.<sup>2</sup>

The input need not be in standard form. This method

- 1. Converts key to standard form if necessary.
- 2. Checks if the image of the standard form of key has been cached, and returns the image if so.
- 3. Computes the image of *key* under the homomorphism, then caches and returns the result.

```
>>> #An element of the domain---just a lookup
>>> phi = load_example('example_5_15')
>>> print(phi.image('x1 a1'))
```

<sup>&</sup>lt;sup>2</sup> Exposing more arguments means I can write this function in a more general manner. Doing so makes it easy to compute *inverse images* under an *MixedAut*.

```
x1 a1 a1 a1
>>> #A word below a the domain words
>>> print(phi.image('x1 a1 a2 a2'))
x1 a1 a1 a1 a2 a2
>>> #Above domain words---have to expand.
>>> print(phi.image('x1'))
x1 a1 a1 a1 x1 a1 a2 x1 a2 a2 x1 a1 a2 L x1 a2 a1 L L L
>>> #Let's try some words not in standard form
>>> print(phi.image('x1 a1 a1 x1 a1 a2 L 2))
x1 a1 a1 a1
>>> print(phi.image('x1 a1 a1 x1 a1 a2 L a2 a1'))
x1 a1 a1 a1 a2 a1
>>> print(phi.image('x1 a1 a1 x1 a2 a2 L'))
x1 a1 a1 a1 a1 a1 a1 x1 a2 a2 L'))
x1 a1 a1 a1 a1 a1 x1 a2 a2 X1 a1 a1 X1 a2 a1 L L
```

Return type a Word instance (which are always in standard form).

image\_of\_set (set, sig\_in=None, sig\_out=None, cache=None)

Computes the image of a list of *Generators* under the current homomorphism. The order of words in the list is preserved.

Return type another list of Generators.

#### image\_of\_point (x)

Interpret the current homomorphism as a piecewise linear map and evaluate the image of x under this map. Note that inverse images aren't supported, as homomorphisms need not be invertible.

**Parameters x** (*Fraction*) – the current point, as an int or a Fraction.

```
>>> from fractions import Fraction
>>> x = standard_generator(0)
>>> x.image_of_point(0)
Fraction(0, 1)
>>> x(0)  #can also just use __call__ syntax
Fraction(0, 1)
>>> x.image_of_point(Fraction(1, 3))
Fraction(1, 6)
```

#### \_\_call\_\_(\*args, \*\*kwargs)

Homomorphisms are callable. Treating them as a function just calls the *image()* method.

```
>>> from fractions import Fraction
>>> x0 = standard_generator()
>>> x0(Fraction(1, 2))
Fraction(1, 4)
```

\_\_\_str\_\_()

Printing an automorphism gives its arity, alphabet\_size, and lists the images of its domain elements.

```
>>> print(load_example('cyclic_order_six'))
PeriodicAut: V(2, 1) -> V(2, 1) specified by 5 generators (after expansion_
→and reduction).
x1 a1 a1 -> x1 a1 a1
x1 a1 a2 a1 -> x1 a1 a2 a2 a2
x1 a1 a2 a2 -> x1 a1 a2 a2 a2
x1 a2 a1 -> x1 a1 a2 a2 a1
x1 a2 a2 -> x1 a1 a2 a1
```

#### \_\_\_repr\_\_()

A tweaked version of <u>\_\_\_\_\_\_str\_\_\_()</u>. This produces a string representation which can be passed to from\_string() to reobtain the Homomorphism we started with.

```
>>> f = random_automorphism()
>>> g = Automorphism.from_string( repr(f) )
>>> f == g
True
```

classmethod pl\_segment (d1, d2, r1, r2)

#### pl\_segments()

#### format\_pl\_segments(LaTeX=False, sfrac=False)

Returns a description of the current homomorphism as a piecewise-linear map on the interval.

```
>>> x = standard_generator()
>>> print(x.format_pl_segments())
0 + 1/2 (t - 0 ) from 0 to 1/2
1/4 + 1 (t - 1/2 ) from 1/2 to 3/4
1/2 + 2 (t - 3/4 ) from 3/4 to 1
```

### Parameters

- LaTeX (bool) if True, the description is formatted as a LaTeX cases environment.
- **sfrac** (*bool*) if True, and if *LaTeX* is True, format fractions using xfrac's \sfrac command.

classmethod format\_pl\_segments\_directly(segments, LaTeX=False, sfrac=False)

#### tikz\_path()

Return a string which can be passed to a \tikz\draw command to graph the current homomorphism.

add\_relabellers (domain\_relabeller, range\_relabeller)

Raises

- **LookupError** if a relabeller is provided and the relabeller's signature does not match that of *domain* or *range*
- **TypeError** if a relabeller is provided and the relabeller's signature does not match that of *domain* or *range* (as appropriate)

#### relabel()

If this automorphism was derived from a parent automorphism, this converts back to the parent algebra after doing computations in the derived algebra.

In the following example  $test\_conjugate\_to()$  takes a pure periodic automorphism and extracts factors. A conjugator  $\rho$  is produced by the overridden version of this method. Finally  $\rho$  is relabelled back to the parent algebra.

**Raises** AttributeError – if the factor has not been assigned any relabellers.

#### gradients()

Interprets the current homomorphism as a piecewise linear map, and returns the list of gradients of each linear piece. The *i* th element of this list is the gradient of the affine map sending self.domain[i] to self.range[i].

Return type list of Fraction s.

#### gradient\_at (x)

Interprets the current homomorphism as a piecewise linear map, and returns the right-derivative of the current homomorphism at x.

#### Return type Fraction

```
>>> f = standard_generator(0)
>>> f.gradient_at(0)
Fraction(1, 2)
>>> f.gradient_at(-1)
Traceback (most recent call last):
...
AssertionError
>>> f.gradient_at(2/3)
Fraction(1, 1)
>>> f.gradient_at(Word("x a2", (2, 1)))
```

```
Traceback (most recent call last):
...
ValueError: Automorphism doesn't map x1 a2 affinely
>>> f.gradient_at(Word("x a2 a2 a2 a2", (2, 1)))
Fraction(2, 1)
```

#### static gradient(domain, range)

Computes the gradient of the affine map sending the interval represented by *domain* to the interval represented by *range*.

## 3.5.2 Next steps

It is not possible to repeatedly apply a homomorphism  $\psi$  to a word unless  $\psi$  is actually an automorphism. The next class extends *Homomorphism* to represent an *Automorphism*.

# 3.6 Automorphisms

As usual, a bijective homomorphism is called an *isomorphism*, and an isomorphism from an algebra to itself is called an *automorphism*. A collection of automorphisms of an object O forms a group Aut(O) under composition. The group of automorphisms  $Aut(V_{n,r})$  is known as  $G_{n,r}$ .

We consider three different classes of automorphism; this module implements functionality common to each class.

## 3.6.1 The Automorphisms class

```
class thompson.automorphism.Automorphism(domain, range, reduce=True)
Represents an automorphism as a bijection between bases.
```

Generic attributes:

Variables

- **signature** The *Signature* shared by the generating sets domain and range.
- quasinormal\_basis See compute\_quasinormal\_basis().
- pond\_banks A list of tuples (ℓ, k, r) such that (ℓ, r) are banks of a pond with ℓφ<sup>k</sup> = r. (See also 4.15 of the paper.)

```
>>> olga_f = load_example('olga_f')
>>> olga_g = load_example('olga_g')
>>> olga_f.signature
Signature(arity=2, alphabet_size=1)
>>> print(len(olga_f.pond_banks)) #No ponds
0
>>> print(len(olga_g.pond_banks)) #One pond
1
>>> print(*olga_g.pond_banks[0], sep=', ')
x1 a2 a1 a2 a1 a1, 2, x1 a1 a2 a2
```

Periodic attributes:

Variables

- cycle\_type the set  $\{d \in \mathbb{N} : \exists an orbit of length d.\}$ . This is implemented as a frozenset which is a readonly version of Python's set type.
- **order** The lcm() of the automorphism's cycle type. This is the group-theoretic order of the periodic factor of  $\phi$ . If the cycle type is empty, the order is  $\infty$ . **NB**: mixed automorphisms will have a **finite** order, despite being infinite-order group elements.
- **periodic\_orbits** a mapping  $d \mapsto L_d$ , where  $L_d$  is the list of size d orbits in the quasinormal basis.
- multiplicity a mapping  $d \mapsto m_{\phi}(d, X_{\phi})$ , which is the number of periodic orbits of size d in the quasinormal basis for  $\phi$ . See Definition 5.8 in the paper.

```
>>> olga_f.cycle_type
frozenset()
>>> olga_f.order
inf
>>> f = load_example('cyclic_order_six')
>>> f.cycle_type
frozenset({1, 2, 3})
>>> f.order
6
>>> def display_orbits(orbits_by_size):
        for key in sorted(orbits_by_size):
. . .
                print('Orbits of length', key)
. . .
                for list in orbits_by_size[key]:
. . .
                        print('...', *list, sep=' -> ', end=' -> ...\n')
. . .
>>> display_orbits(load_example('example_5_9').periodic_orbits)
Orbits of length 2
... -> x1 a2 a1 a1 -> x1 a2 a1 a2 -> ...
... -> x1 a2 a2 a1 -> x1 a2 a2 a2 -> ...
Orbits of length 3
... -> x1 a1 a1 a1 -> x1 a1 a1 a2 -> x1 a1 a2 -> ...
```

Infinite attributes:

**Variables characteristics** – the set of characteristics  $(m, \Gamma)$  of this automorphism. Like the cycle\_type above, this is a frozenset.

\_\_init\_\_ (domain, range, reduce=True)

Creates an automorphism mapping the given *domain* basis to the *range* basis in the given order. That is, domain  $_i \mapsto$  range  $_i$ .

The quasi-normal basis X and the various attributes are all calculated at creation time.

Raises

- **TypeError** if the bases have different arities or alphabet sizes.
- **TypeError** if either basis isn't actually a basis.

#### See also:

The superclass' method.

classmethod from\_dfs (domain, range, labels=None, reduce=True) Creates elements of  $V = G_{2,1}$  using the notation of [Kogan].

The domain and range trees are described as a string of ones and zeros. A 1 denotes a vertex which has children; a 0 denotes a vertex which has none (i.e. a leaf). The 'dfs' stands for *depth-first search*, the order in which we traverse the vertices of the tree. We initialise the current vertex as the root. Upon reading a 1 we add two child vertices to the current vertex, then redeclare the current vertex to be the current vertex's left child. Upon reading a 0 we set the current vertex to be the next vertex of the tree in depth-first order. If the current vertex is the root we are done.

#### Parameters

- domain (str) A description of a binary tree as a stream of ones and zeroes.
- range (*str*) The same.
- labels (str) A string of natural numbers 1,..., m in some order. If omitted, taken to be the string "1 2 "..."len (domain) ". If a single string n, taken to be the cyclic permuation mapping 1 → n.
- **reduce** (bool) Passed to the superclass' initialiser method. If True, carets are reduced in the domain and range where possible.

```
>>> f = Automorphism.from_dfs("100", "100", "2 1")
>>> f.order
2
>>> print(f)
PeriodicAut: V(2, 1) -> V(2, 1) specified by 2 generators (after expansion_
\rightarrow and reduction).
x1 a1 -> x1 a2
x1 a2 -> x1 a1
>>> Automorphism.from_dfs("100", "100", "2") == f
True
>>> g = Automorphism.from_dfs("1010100", "1011000")
>>> print (g)
MixedAut: V(2, 1) -> V(2, 1) specified by 4 generators (after expansion and_
\rightarrow reduction).
x1 a1
            -> x1 a1
x1 a2 a1
           -> x1 a2 a1 a1
x1 a2 a2 a1 -> x1 a2 a1 a2
x1 a2 a2 a2 -> x1 a2 a2
>>> g == standard_generator(1)
True
>>> h = load_example("example_6_2")
>>> h2 = Automorphism.from_dfs("1110000", "1100100", "3 1 2 4")
>>> h == h2
True
```

#### classmethod rotation (numerator, denominator=None)

Constructs an automorphism which represents a rotation when thought of as a homeomorphism of the circle. The *displacement* of this rotation be a dyadic rational. If both arguments are given, the displacement is numerator/denominator; if only one argument is given, the displacement is the result of passing *numerator* to the constructor of Fraction.

**Note:** This only works in  $T_{2,1}$ , but could be modified in future to work for different arities.

**Raises ValueError** – if the displacement is not dyadic.

Returns PeriodicAut

```
>>> print (Automorphism.rotation(1, 2))
PeriodicAut: V(2, 1) \rightarrow V(2, 1) specified by 2 generators (after expansion.)
→and reduction).
x1 a1 -> x1 a2
x1 a2 -> x1 a1
>>> print (Automorphism.rotation (3, 8))
PeriodicAut: V(2, 1) \rightarrow V(2, 1) specified by 8 generators (after expansion.)
\rightarrowand reduction).
x1 a1 a1 a1 -> x1 a1 a2 a2
x1 a1 a1 a2 -> x1 a2 a1 a1
x1 a1 a2 a1 -> x1 a2 a1 a2
x1 a1 a2 a2 -> x1 a2 a2 a1
x1 a2 a1 a1 -> x1 a2 a2 a2
x1 a2 a1 a2 -> x1 a1 a1 a1
x1 a2 a2 a1 -> x1 a1 a1 a2
x1 a2 a2 a2 -> x1 a1 a2 a1
>>> Automorphism.rotation(0) == Automorphism.rotation(100) == Automorphism.
\rightarrow identity((2, 1))
True
>>> denominator = 2 ** randint(1, 10)
>>> numerator = randrange(denominator)
>>> rot = Automorphism.rotation(numerator, denominator)
>>> rot.rotation_number() == Fraction(numerator, denominator)
True
>>> rot.cycles_order()
True
>>> rot.preserves_order() == (numerator == 0)
True
```

#### image (key, inverse=False)

If *inverse* is True, the inverse of the current automorphism is used to map *key* instead. Otherwise this method delegates to *Homomorphism.image*.

As a shorthand, we can use function call syntax in place of this method. For instance:

```
>>> phi = load_example('example_5_15')
>>> print(phi('x1 a2 a2 a1 a1'))
x1 a2 a2
```

Examples of finding inverse images:

```
>>> phi = load_example('example_5_15')
>>> print(phi.image('x1 a2 a2', inverse=True))
x1 a2 a2 a1 a1
>>> print(phi.image('x1 a1 a1 a2 a2 a1', inverse=True))
x1 a2 a1 a2 a1
>>> print(phi.image('x a2', inverse=True))
x1 a2 a2 a2 x1 a2 a2 a1 a1 L
>>> print(phi.image('x a2 a2 x a1 a2 L', inverse=True))
x1 a2 a2 a1
```

#### image\_of\_set (set, inverse=False)

If *inverse* is True, the inverse of the current automorphism is used to map *set* instead. Otherwise this method delegates to *Homomorphism.image\_of\_set*.

Examples of finding inverse images:

#### repeated\_image (key, power)

If  $\psi$  is the current automorphism, returns key $\psi^{\text{power}}$ .

Return type a Word instance.

**Todo:** This could be made more efficient for large values of *power* by using knowledge of the component containing *key*.

#### \_pow\_\_ (power)

Python uses the double star operator to denote exponentiation.

```
>>> psi = random_automorphism()
>>> psi ** 0 == 1 #identity
True
>>> ~psi ** 2 == ~psi * ~psi == psi ** -2
True
```

#### \_invert\_()

We overload python's unary negation operator ~ as shorthand for inversion. (In Python, ~ is normally used for bitwise negation.) We can also call a method explicitly: phi.inverse() is exactly the same as ~phi.

```
>>> phi = random_automorphism()
>>> phi * ~phi == ~phi * phi
True
>>> (phi * ~phi).is_identity()
True
>>> (~phi).quasinormal_basis == phi.quasinormal_basis
True
```

#### \_\_\_\_xor\_\_\_(other)

We overload the bitwise exclusive or operator  $\uparrow$  as a shorthand for conjugation. We act on the right, so that  $s^c = c^{-1}sc$ .

```
>>> sig = random_signature()
>>> f = random_automorphism(signature=sig)
>>> g = random_automorphism(signature=sig)
```

```
>>> h = f^g
>>> f.is_conjugate_to(h)
True
>>> f ^ (f.test_conjugate_to(h)) == h
True
```

#### compute\_quasinormal\_basis()

We say that  $\phi$  is *in semi-normal form* with respect to the basis X if no element of X lies in an incomplete X-component of a  $\phi$  orbit. See the *orbits* module for more details.

There is a minimal such basis,  $X_{\phi}$  say, and we say that  $\phi$  is *in quasi-normal form* with respect to  $X_{\phi}$ . This method determines and returns the basis  $X_{\phi}$  where  $\phi$  denotes the current automorphism. The result is cached so that further calls to this method perform no additional computation.

Note: This method is called automatically at creation time and does not need to be called by the user.

**Return type** a *Generators* instance.

#### See also:

Quasi-normal forms are introduced in Section 4.2 of the paper. In particular, this method implements Lemma 4.28. Higman first described the idea of quasi-normal forms in Section 9 of [*Hig74*].

#### seminormal\_form\_start\_point()

Returns the minimal expansion X of x such that every element of X belongs to either *self.domain* or *self.range*. Put differently, this is the minimal expansion of x which does not contain any elements which are above  $Y \cup Z$ . This basis that this method produces is the smallest possible which *might* be semi-normal.

```
>>> for name in ['example_4_5', 'example_4_11', 'example_4_12', 'example_5_15
... print(load_example(name).seminormal_form_start_point())
[x1 a1 a1, x1 a1 a2, x1 a2 a1, x1 a2 a2]
[x1 a1, x1 a2]
[x1 a1, x1 a2]
[x1 a1, x1 a2 a1, x1 a2 a2]
[x1 a1, x1 a2 a1, x1 a2 a2]
[x1 a1, x1 a2 a1, x1 a1 a2 a2, x1 a2]
```

#### See also:

Remark 4.10 of the paper.

```
orbit_type (y, basis=None)
```

Returns the orbit type of y with respect to the given *basis*. If *basis* is omitted, the *quasi-normal basis* is used by default. Also returns a dictionary of computed images, the list (4.6) from the paper.

```
Returns A triple (ctype, images, type_b_data).
```

Components can be calculated with respect to any basis, not just the quasi-normal basis.

```
>>> print(olga_f.quasinormal_basis)
[x1 a1 a1, x1 a1 a2, x1 a2 a1, x1 a2 a2 a1, x1 a2 a2 a2]
>>> basis = olga_f.quasinormal_basis.copy().expand(-1); print(basis)
[x1 a1 a1, x1 a1 a2, x1 a2 a1, x1 a2 a2 a1, x1 a2 a2 a2 a1, x1 a2 a2 a2 a2]
>>> from pprint import pprint
>>> print(olga_f.orbit_type('x a2 a2 a2')[0])
Bi-infinite component
>>> print(olga_f.orbit_type('x a2 a2 a2', basis)[0])
Incomplete component
```

#### See also:

Lemmas 4.18, 4.28 of the paper.

**Todo:** This should be renamed to component\_type.

#### test\_semi\_infinite(y, basis, backward=False)

Computes the orbit type of y under the current automorphism  $\psi$  with respect to *basis* in the given direction. Let  $y\psi^m$  be the most recently computed image. The process stops when either:

- 1.  $y\psi^m$  is not below the *basis*, for some  $m \ge 0$ .
  - infinite: False
  - start: 0
  - end: m-1
  - images:  $y, y\psi, \ldots, y\psi^{m-1}$ .
- 2. For some  $0 \le l < m$ ,  $y\psi^l$  is above (or equal to)  $y\psi^m$ .
  - infinite: True
  - start: 1
  - end: m
  - images:  $y, y\psi, \ldots, y\psi^m$ .

Note: The word  $y\psi^m$  is not strictly in the core part of the orbit of Lemma 4.24. We return this as part of *images* so that we can compute the characteristic multiplier in *orbit\_type()*.

**Returns** the tuple (*infinite*, *start*, *end*, *images*).

See also:

Lemma 4.28 of the paper.

semi\_infinite\_end\_points(exclude\_characteristics=False)

Returns the list of terminal *Words* in left semi-infinite components and the list of initial words in right semi-infinite components. This is all computed with respect the current automorphism's *quasinormal* basis. These are the sets  $X\langle A \rangle \setminus Y\langle A \rangle$  and  $X\langle A \rangle \setminus Z\langle A \rangle$ .

**Parameters exclude\_characteristics** – if True, only the endpoints of non-characteristic semi-infinite orbits will be returned.

```
>>> for id in ['4_5', '4_11', '4_12', '5_15', '6_2']:
... aut = load_example('example_' + id)
... print(*aut.semi_infinite_end_points())
[x1 al al] [x1 al a2]
[x1 a1] [x1 a2]
[] []
[x1 a2 a2, x1 a2 a2 a1] [x1 a1, x1 a1 a1]
[x1 a1 a1] [x1 a2]
>>> phi = load_example('nathan_pond_example')
>>> print(*phi.semi_infinite_end_points())
[x1 a1 a1, x1 a1 a1 a1, x1 a1 a1 a2] [x1 a1 a2, x1 a1 a2 a1, x1 a1 a2 a2]
>>> print(*phi.semi_infinite_end_points(exclude_characteristics=True))
[x1 a1 a1 a2] [x1 a1 a2 a2]
```

Return type A pair of Generators.

See also:

The discussion before Lemma 4.6.

#### dump\_QNB()

A convenience method for printing the quasinormal basis X. The X-components of the elements  $x \in X$  are displayed.

```
>>> load_example('example_5_3').dump_QNB()
x1 a1 a1 a1 Left semi-infinite component with characteristic (-1, a1)
x1 a1 a1 a2 Right semi-infinite component with characteristic (1, a2)
x1 a1 a2 a1 Periodic component of order 2
x1 a1 a2 a2 Periodic component of order 2
x1 a2 a1 Right semi-infinite component with characteristic (1, a1)
x1 a2 a2 Left semi-infinite component with characteristic (-1, a2)
```

#### dump\_periodic(cantor=False)

A convenience method for printing out periodic orbits in the quasinormal basis.

```
>>> f = load_example("cyclic_order_six")
>>> f.dump_periodic()
Period 1
x1 a1 a1
```

```
Period 2
x1 a1 a2 a2 a1 -> x1 a2 a1
Period 3
x1 a1 a2 a1 -> x1 a1 a2 a2 a2 -> x1 a2 a2
>>> f.dump_periodic(cantor=True)
Period 1
00
Period 2
0110 -> 10
Period 3
010 -> 0111 -> 11
```

#### print\_characteristics()

For convenience, a method that prints out all of the characteristics of type B components wrt the quasinormal\_basis.

```
>>> for id in ['4_1', '5_15', '6_2', '6_8_phi']:
... name = 'example_' + id
        print (name)
. . .
        load_example(name).print_characteristics()
. . .
example_4_1
(-1, al)
(1, a2)
example_5_15
(-1, a1 a1)
(1, a1 a1)
example_6_2
(-2, al)
(1, a2)
example_6_8_phi
(-1, a1 a1 a1)
(1, a2 a2 a2)
>>> (load_example('example_6_2')**2).print_characteristics()
(-1, a1)
(1, a2 a2)
>>> #Lemma 5.16
>>> psi, phi = random_conjugate_pair()
>>> psi.characteristics == phi.characteristics
True
```

#### See also:

Defintion 5.14.

#### share\_orbit(u, v)

Determines if u and v are in the same orbit of the current automorphism  $\psi$ . Specifically, does there exist an integer m such that  $u\psi^m = v$ ?

```
>>> phi = load_example('alphabet_size_two')
>>> u = Word('x1 a2 a3 a1 a2', (3, 2))
>>> v1 = Word('x1 a1 a2 a2 a3 a1', (3, 2))
>>> v2 = Word('x2 a3 a2', (3, 2))
>>> print(phi.share_orbit(u, v1))
{}
>>> print(phi.share_orbit(u, v2))
{-2}
>>> print(phi.share_orbit(u, u))
```

{0}

**Returns** The (possibly empty) *SolutionSet* of all integers m for which  $u\psi^m = v$ . Note that if u = v this method returns  $\mathbb{Z}$ .

#### See also:

The implementation is due to lemma 4.34 of the paper.

#### is\_conjugate\_to(other)

A shortcut for self.test\_conjugate\_to(other) is not None.

#### preserves\_order()

Returns True if this automorphism is an element of  $F_{n,r}$ , Higman's analogue of Thompson's group F. Otherwise returns False.

```
>>> random_automorphism(group='F').preserves_order()
True
>>> phi = random_automorphism(group='T')
>>> #phi preserves order iff it is in F.
>>> (sorted(phi.range) == phi.range) == phi.preserves_order()
True
>>> load_example('nathan_pond_example').preserves_order()
False
```

#### cycles\_order()

Returns True if this automorphism is an element of  $T_{n,r}$ , Higman's analogue of Thompson's group T. Otherwise returns False.

```
>>> random_automorphism(group='F').cycles_order()
True
>>> random_automorphism(group='T').cycles_order()
True
>>> load_example('nathan_pond_example').cycles_order() # in V \ T
False
```

#### rotation\_number()

The *rotation number* is a map  $\text{Homeo}_+(\mathbb{S}^1) \to \mathbb{R}/\mathbb{Z}$  which is constant on conjugacy classes.

**Return type** Fraction if the current automorphism is a *circle homeomorphism*; otherwise *None*.

```
>>> f = random_automorphism()
>>> rot = f.rotation_number()
>>> (rot is None) == (not f.cycles_order())
True
>>> sig = random_signature()
>>> Automorphism.identity(sig).rotation_number() == 0
True
>>> g = random_automorphism(group='T', signature=sig)
>>> k = random_automorphism(group='T', signature=sig)
>>> (g^k).rotation_number() == g.rotation_number()
True
>>> f_examples = "example_4_1 example_4_11 example_6_8_psi example_6_9_psi_
+-non_dyadic_fixed_point".split()
>>> all( load_example(name).rotation_number() == 0 for name in f_examples )
```

#### commutes (other)

A shorthand for the expression self \* other == other \* self.

```
>>> x = random_automorphism()
>>> x.commutes(x)
True
>>> x.commutes(~x)
True
>>> x.commutes(1)
True
>>> x.commutes(x*x)
True
```

#### test\_revealing(domain='wrt QNB')

Determines if the given automorphism  $\phi$  is revealed by the tree pair  $(D, \phi(D))$ , where D is the given *domain*.

The *domain* may be implicitly specified by the string 'minimal' or 'wrt QNB'. In the first case, *domain* is taken to be the minimal *domain* required to specify the automorphism. In the second case, *domain* is taken to be the minimal expansion of the quasinormal basis.

**Returns** *None* if the pair is revealing for  $\phi$ . Otherwise, returns (as a *Word*) the root of a component of either  $D \setminus \phi(D)$  or  $\phi(D) \setminus D$  which does not contain an attractor/repeller.

```
>>> load_example('olga_f').test_revealing() is None
True
>>> print(load_example('semi_inf_c').test_revealing())
x1 a2 a1
>>> print(load_example('non_revealing').test_revealing())
x1 a1 a1
>>> f = load_example('cyclic_order_six')
>>> print(f.test_revealing('minimal'))
x1 a2
>>> f.test_revealing('wrt QNB') is None
True
```

**Caution:** This is an experimental feature based on [SD10].

Todo: This method is badly named; something like test\_revealed\_by (basis) would be better.

#### is\_revealing(domain='wrt QNB')

Calls test\_revealing(), but only returns True or False.

**Returns** True if the pair is revealing, otherwise False.

```
>>> load_example('olga_f').is_revealing()
True
>>> load_example('semi_inf_c').is_revealing()
False
>>> load_example('non_revealing').is_revealing()
False
>>> f = load_example('cyclic_order_six')
>>> f.is_revealing('minimal')
False
>>> f.is_revealing('wrt QNB')
True
>>> load_example('v_revealing_test').is_revealing()
False
```

Caution: This is an experimental feature based on [SD10].

Todo: This method is badly named; something like is\_revealed\_by (basis) would be better.

#### periodic\_points (include\_intervals=True)

Identifies the intervals and points which are (pointwise) peridically mapped under the current automorphism. Pointwise periodic intervals are represented as :class'~thompson.word.Word's; isolated periodic points are represented as Fraction s.

**Parameters include\_intervals** (bool) – If False, intervals are not included in the output. If the current automorphism is in T, the result is the boundary of the set of fixed points.

Returns an OrderedDict mapping periodic objects to their period size.

**Caution:** This is an experimental feature and provides different information to *fixed\_points()*.

#### fixed\_points()

Returns a list of Fraction and :class'~thompson.word.Word's which are the fixed points and intervals of this function.

```
>>> print( *Automorphism.identity((2, 1)).fixed_points() , sep=" ")
x1
>>> standard_generator().fixed_points()
[Fraction(0, 1), Fraction(1, 1)]
>>> f = load_example("non_dyadic_fixed_point")
>>> f.fixed_points()
[Fraction(0, 1), Fraction(1, 3), Word('x1 a2 a2', (2, 1))]
>>> x = Automorphism.from_dfs("1101000", "1100100", "2 3 4 1")
>>> x.fixed_points()
[Fraction(1, 2)]
>>> y = Automorphism.from_dfs("1101000", "1011000", "2 3 4 1")
>>> y.fixed_points()
[Fraction(1, 3), Fraction(2, 3)]
```

```
>>> f = random_automorphism()
>>> fixed_intervals = (x for x in f.fixed_points() if isinstance(x, Word))
>>> all( f(interval) == interval for interval in fixed_intervals )
True
```

Caution: This is an experimental feature.

#### fixed\_point\_boundary(on\_circle=False)

Replaces any intervals in the output of *fixed\_points()* with their endpoints.

```
>>> f = load_example("non_dyadic_fixed_point")
>>> f.fixed_point_boundary()
[Fraction(0, 1), Fraction(1, 3), Fraction(3, 4), Fraction(1, 1)]
```

**Parameters** on\_circle (bool) – if True, treat 0 and 1 as the same point.

```
>>> f.fixed_point_boundary(on_circle=True)
[Fraction(0, 1), Fraction(1, 3), Fraction(3, 4)]
```

#### area\_to\_identity (scaled=False)

Let  $\phi \in F_{n,1}$ , viewed as a bijection of the interval. What is the (unsigned) area between  $\phi$  and the identity?

**Parameters scaled** (*bool*) – If true, the area is normalised by ignoring subintervals where the function is equal to the identity.

Warning: This is an experimental feature based on a suggestion by Henry Bradford.

```
>>> for n in range(4):
        print (standard_generator(n).area_to_identity())
. . .
. . .
5/32
5/128
5/512
5/2048
>>> x0 = standard_generator(0)
>>> x1 = standard_generator(1)
>>> q = -x0 + x1 + x0
>>> print(g.area_to_identity())
3/32
>>> f = load_example('non_dyadic_fixed_point')
>>> print(f.area_to_identity())
43/768
>>> for n in range(4):
                print (standard_generator(n).area_to_identity(scaled=True))
. . .
5/32
5/32
5/32
5/32
```

```
centralise_period(period, trees, rearranger)
```

Constructs a new element  $\psi$  commuting with the given element  $\phi$ . We construct the centralising element

by altering the  $\phi$ -orbit structure below the orbits of the given *period*.

There are two parameters: a collection of labelled *trees* and a *rearranger* element; in the notation of *[BBG11]* these are elements of  $K_{m_i}$  and  $G_{n,r_i}$  respectively.

Todo: doctests

Caution: This is an experimental feature based on [BBG11].

#### gradients\_around(x)

Let  $x \in \mathbb{Q} \cap [0, 1]$ . What's the gradient to the left of x and right of x? If x is a breakpoint it could be different; if not, it'll be the same number on both sides.

Return type 2-tuple of fractions. Fraction.

```
>>> f = standard_generator(0)
>>> f.gradients around(5/6)
(Fraction(2, 1), Fraction(2, 1))
>>> f.gradients_around(1/2)
(Fraction(1, 2), Fraction(1, 1))
>>> f.gradients_around(0)
(Fraction(2, 1), Fraction(1, 2))
>>> g = load_example("alphabet_size_two")
>>> g.gradients_around(1/2)
(Fraction(3, 1), Fraction(1, 1))
>>> g.gradients_around(1/3)
(Fraction(1, 3), Fraction(1, 3))
>>> #One third is a breakpoint, but floating point can't accurately represent.
→one third. Need to use a Fraction here
>>> g.gradients_around(Fraction(1, 3))
(Fraction(1, 3), Fraction(3, 1))
>>> g.gradients_around(0)
(Fraction(1, 3), Fraction(1, 1))
```

### Membership placeholders

As an alternative to using the *preserves\_order()* and *cycles\_order()* methods, we provide two objects F, T which know how to respond to the in operator.

```
>>> f = random_automorphism(group='F')
>>> f in F and f in T
True
>>> t = load_example('scott_free_alpha')
>>> t not in F and t in T
True
```

## 3.6.2 Next steps

Because an Automorphism can be repeatedly applied, we may consider the orbit  $\{w\psi^n \mid n \in \mathbb{Z}\}$  of any word w. (Note that this is the orbit of w under the cyclic subgroup  $\langle \psi \rangle$ , rather than all of  $G_{n,r}$ .)

The next module gives us tools to classify and work with these orbits. (In truth, the *Automorphism* class uses these tools, so this documentation is really in the wrong order.)

# 3.7 Orbits

Let y be a word, and let X be an expansion of the standard basis  $x = \{x_1, \ldots, x_n\}$ ; finally let  $\phi$  be an *MixedAut*. We call the set  $\operatorname{orb}_{\phi}(y) = \{y\phi^i\}_{i \in \mathbb{Z}}$  the  $\phi$ -orbit of y. Let us refer to the intersection  $\operatorname{orb}_{\phi}(y) \cap X \langle A \rangle$  as the X -component of (the  $\phi$ -orbit of) y. Higman demonstrated how we could classify these components in [Hig74] (section 9).

This module is responsible for two orbit-related data structures. Firstly, Component Type is set up to describe all possible types of orbits according to Higman's scheme. Secondly, the SolutionSet describes solutions to the equation  $u\phi^i = v$ .

## 3.7.1 Types of orbits and components

These components come in five different types:

- 1. Complete infinite components. The component is the entire orbit  $\{y\phi^i\}_{i\in\mathbb{Z}}$  and each element of the orbit is different.
- 2. **Complete finite components.** The component is the entire orbit, which eventually it repeats itself. Thus the component only contains a finite number of distinct words.
- Right semi-infinite components. The forward orbit {yφ<sup>n</sup>}<sub>n∈N</sub> belongs to the component and does not repeat itself. However, the backward orbit {yφ<sup>-n</sup>}<sub>n∈N</sub> eventually leaves X⟨A⟩; thus the component only contains a finite amount of the backward orbit.
- 4. Left semi-infinite components. The backward orbit is contained in the component, and does not repeat itself; the forward orbit eventually leaves  $X\langle A \rangle$ .
- 5. Incomplete finite components. A finite part of the orbit

$$y\phi^{-n},\ldots,y\phi^{-1},y,y\phi,\ldots,y\phi^{m}$$

for which both  $y\phi^{-n-1}$  and  $y\phi^{m+1}$  are not in  $X\langle A\rangle$ .

There are six different ways we can form orbits using these components:

- Complete infinite (or *doubly infinite*) orbits. The entire orbit is a complete infinite component (type 1).
- Complete finite (or *periodic*) orbits. The entire orbit is a component finite component (type 2).
- **Incomplete finite (or** *bad***) orbits.** The orbit does not contain any complete or semi-infinite components. Thus the only components which may appear are of type 5. Note that these orbits need not have any components at all!
- **Incomplete infinite orbits.** The orbit contains at least one semi-infinite component (types 3, 4). The orbit may also contain incomplete finite components (type 5). We give names to the different cases:
  - Right semi-infinite orbits. One component of type 3, none of type 4.
  - Left semi-infinite orbits. One component of type 4, none of type 3.
  - *Pond* orbits. One component of type 3 and one of type 4. These components must be separated by a finite list of words in  $V_{n,r} \setminus X\langle A \rangle$ ; we think of this list as being a *pond*.

If that wasn't confusing enough, we have a another way to classify those orbits which are not incomplete finite.

1. Type A components are those with characteristic  $(m, \epsilon)$ , where  $\epsilon$  is the empty word. These are precisely the periodic orbits.

- 2. Type B components are those with characteristic  $(m, \Gamma)$ , where  $\Gamma \neq \epsilon$  is nontrivial.
- 3. Type C components are those which are not of type A or B—that is, they are the components which do not have a characteristic. If x belongs to a type C orbit, then  $x\phi^{\ell} = z\Delta$  for some z of type B. That is, type C orbits are those 'below' type B orbits.

**Note:** Type B components must be semi-infinite, **but not all semi-infinite components are of type B**. Complete infinite components are always of type C, but **some semi-infinite components are of type C too**.

#### class thompson.orbits.ComponentType

This class is essentially a glorified enumeration: its instances store a number from 1 to 5 to represent the type of a component.

Variables characteristic – Either the characteristic  $(m, \Gamma)$  of this component, or None if this component has no characteristic. Periodic components have characteristic  $(m, \varepsilon)$ , where  $\varepsilon$  is the empty word.

#### See also:

Section 4.1 of the paper.

#### classmethod periodic (period)

Describes a complete finite (i.e. periodic, type 2) component. The argument is the period of the the component.

#### classmethod semi\_infinite(characteristic, backward=False)

Describes a semi-infinite component (types 3, 4). The first argument is the characteristic of the component; use None if this component doesn't have a characteristic. The second argument should specify where this component is left or right semi-infinite.

#### classmethod complete\_infinite()

Describes a component which is infinite in both directions (type 1).

#### classmethod incomplete()

Describes a component which is incomplete finite (type 5).

#### is\_type\_A()

Returns true if this component belongs to an orbit of type A (periodic).

is\_type\_B()

Returns true if this component belongs to an orbit of type B (has a characteristic)

is\_type\_C()

Returns true if this component belongs to an orbit of type C (does not have a characteristic)

is\_incomplete()

Returns True if this component is incomplete, otherwise False.

```
is_semi_infinite()
```

Returns True is this component is semi\_infinite (with or without characteristic); otherwise returns False.

# 3.7.2 The SolutionSet structure

Solutions to the equation  $u\psi^m = v$  come in specific instances. If there are no solutions, the solution set is  $\emptyset$ . Otherwise u and v share an orbit. If this orbit is periodic, then the solution set is of the form  $m + p\mathbb{Z}$ , where p is the period of the orbit. Otherwise the solution set is a single integer m.

Internally we represent this as a pair (*base*, *increment*) of integers. The first element *base* is a solution m if it exists; otherwise None. The second element *increment* is the increment p between solutions (which occurs for a periodic orbit only).

#### class thompson.orbits.SolutionSet

Solution sets to the orbit sharing test  $u\phi^k = v$ . These are either

- empty (no such k) exists;
- a singleton; or
- a linear sequence a + b\*n.

Create solution sets as follows:

```
>>> print (SolutionSet (5, 2))
{..., 3, 5, 7, 9, 11, 13, ...}
>>> print (SolutionSet.singleton (4))
{4}
>>> print (SolutionSet.empty_set())
{}
>>> print (SolutionSet.the_integers())
{..., -1, 0, 1, 2, 3, 4, ...}
```

#### is\_sequence()

Returns True if this set contains more than one distinct element; otherwise returns False.

```
>>> SolutionSet.empty_set().is_sequence()
False
>>> SolutionSet.singleton(2).is_sequence()
False
>>> SolutionSet(base=4, increment=3).is_sequence()
True
>>> SolutionSet.the_integers().is_sequence()
True
```

#### is\_singleton()

Returns True if this contains precisely one element, otherwise False.

```
>>> SolutionSet.empty_set().is_singleton()
False
>>> SolutionSet.singleton(2).is_singleton()
True
>>> SolutionSet(base=4, increment=3).is_singleton()
False
>>> SolutionSet.the_integers().is_singleton()
False
```

## is\_empty()

Returns True if this set is empty, otherwise False.

```
>>> SolutionSet.empty_set().is_empty()
True
>>> SolutionSet.singleton(2).is_empty()
False
>>> SolutionSet(base=4, increment=3).is_empty()
False
>>> SolutionSet.the_integers().is_empty()
False
```

#### is\_the\_integers()

Returns True if this set contains every integer; otherwise returns False.

```
>>> SolutionSet.empty_set().is_the_integers()
False
>>> SolutionSet.singleton(2).is_the_integers()
False
>>> SolutionSet(base=4, increment=3).is_the_integers()
False
>>> SolutionSet.the_integers().is_the_integers()
True
```

#### \_\_\_contains\_\_\_(other)

Returns true if this set contains an other number.

```
>>> 1024 in SolutionSet.empty_set()
False
>>> 1024 in SolutionSet.singleton(128)
False
>>> 1024 in SolutionSet(0, 256)
True
>>> 1024 in SolutionSet.the_integers()
True
```

\_and\_\_(other)

The & operator (usually used for bitwise and) stands for intersection of sets.

```
>>> phi = SolutionSet.empty_set()
>>> Z = SolutionSet.the_integers()
>>> singleton = SolutionSet.singleton
>>> print (phi & phi)
{ }
>>> print (phi & singleton(1))
{ }
>>> print (phi & SolutionSet (2, 3))
{ }
>>> print (singleton (1) & singleton (1))
\{1\}
>>> print (singleton (1) & singleton (2))
{ }
>>> print(singleton(8) & SolutionSet(4, 2))
{8}
>>> print(SolutionSet(1, 3) & SolutionSet(2, 3))
{ }
>>> print(SolutionSet(1, 3) & SolutionSet(1, 2))
{..., -5, 1, 7, 13, 19, 25, ...}
>>> print (SolutionSet (1, 18) & SolutionSet (5, 24))
{ }
>>> print (SolutionSet (1, 18) & SolutionSet (13, 24))
{..., -35, 37, 109, 181, 253, 325, ...}
>>> print (SolutionSet (1, 3) & Z)
\{\ldots, -2, 1, 4, 7, 10, 13, \ldots\}
>>> print(Z & Z)
\{\ldots, -1, 0, 1, 2, 3, 4, \ldots\}
```

## 3.7.3 Helper functions

thompson.orbits.print\_component\_types (aut, basis=None, words=None)

Prints the classification of the components under *aut* of each word in *words* with respect to *basis*. If *basis* is omitted, it is taken to be the smallest possible expansion which could potentially be a semi-normal basis; see *seminormal\_form\_start\_point()*. If *words* is omitted, it is taken to be the same as *basis*.

```
>>> print_component_types(load_example('arity_three_order_inf'))
x1 a1: Left semi-infinite component with characteristic (-1, a1)
x1 a2: Bi-infinite component
x1 a3 a1: Bi-infinite component
x1 a3 a2: Bi-infinite component
x1 a3 a3: Right semi-infinite component with characteristic (1, a1)
with respect to the basis [x1 a1, x1 a2, x1 a3 a1, x1 a3 a2, x1 a3 a3]
```

#### See also:

The orbit\_type() method.

class thompson.orbits.Characteristic(power, multiplier)

```
___getnewargs__()
```

Return self as a plain tuple. Used by copy and pickle.

**static** \_\_\_**new**\_\_ (*\_cls, power, multiplier*) Create new instance of Characteristic(power, multiplier)

\_\_\_repr\_\_()

Return a nicely formatted representation string

```
multiplier
```

Alias for field number 1

power

Alias for field number 0

## 3.7.4 Next steps

With tools to describe orbits in hand, we can dive straight into the Automorphism class. In particular, we need to know how orbits work to

- determine quasinormal bases
- perform the orbit sharing test
- perform the conjugacy test

# 3.8 MixedAuts

A general automorphism  $\phi \in G_{n,r}$  may exhibit periodic behaviour, infinite behaviour, or a mix of both. We say that an automorphism is

- *purely periodic* if it has finite order;
- purely infinite if it has no periodic orbits; and
- *mixed* otherwise.

We can represent a mixed automorphism  $\psi$  as a free product  $\psi = \psi_P * \psi_I$  of a purely periodic and purely infinite automorphism. The automorphisms  $\psi_P$  and  $\psi_I$  are called *free\_factors()*. We form this decomposition because the conjugacy problem is easier to solve when the automorphisms are both pure infinite or both pure periodic.

This class is responsible for:

- Generating the free factors from a mixed automorphism;
- Combining a periodic and infinite factor into a mixed automorphism; and
- Delegating the conjugacy and power conjugacy tests to these factors.

# 3.8.1 The MixedAut class

class thompson.mixed.MixedAut (domain, range, reduce=True)

### free\_factors()

In principle, an automorphism can be decomposed into free factors using any partition of the quasi-normal basis  $X = X_1 \sqcup X_2$ . The decomposition  $X = X_P \sqcup X_I$  is particularly interesting since these sets generate  $\psi$ -invariant subalgebras, which means that a conjugator can be reassembled from conjugators of the factors.

#### See also:

This jargon comes from Theorem 5.1.

This is a convenience method which produces the free factors  $\psi_P$  and  $\psi_I$  from  $\psi$ .

#### free\_factor (generators)

This method restricts the current automorphism to the subalgebra generated by the given set W of generators. This is then transformed into an automorphism of an isomorphic algebra with minimal alphabet size  $1 \le s \le n-1$ .

$$\begin{array}{c} G_{n,r} \\ \rightarrow G_{n,|W|} \\ \rightarrow G_{n,s} \\ \phi \\ \mapsto \phi|_{W\langle A \rangle \langle \lambda} \\ \mapsto \phi' \end{array}$$

Returns The transformed automorphism  $\phi \in G_{n,s}$ . Its type is PeriodicAut or InfiniteAut as appropriate.

**Raises ValueError** – if an empty list of *generators* is provided.

```
>>> phi = load_example('alphabet_size_two')
>>> qnb = phi.quasinormal_basis
>>> p, i = phi._partition_basis(qnb)
>>> print (phi.free_factor(p))
PeriodicAut: V(3, 1) \rightarrow V(3, 1) specified by 1 generators (after expansion.)
\rightarrow and reduction).
This automorphism was derived from a parent automorphism.
'x' and 'y' represent root words of the parent and current derived algebra,...
\rightarrow respectively.
x1 a1 ~>
           y1 => y1
                       ~> x1 a1
>>> print (phi.free_factor(i))
InfiniteAut: V(3, 1) \rightarrow V(3, 1) specified by 5 generators (after expansion.)
\rightarrow and reduction).
This automorphism was derived from a parent automorphism.
'x' and 'y' represent root words of the parent and current derived algebra,...
\rightarrow respectively.
x1 a2 ~> y1 a1 => y1 a1 a3
                                   ~> x1 a2 a3
          y1 a2 => y1 a3
x1 a3 ~>
                                     ~> x2
x2 a1 ~> y1 a3 a1 => y1 a2
                                     ~> x1 a3
x2 a2 ~> y1 a3 a2 => y1 a1 a2
                                    ~> x1 a2 a2
x2 a3 ~> y1 a3 a3 => y1 a1 a1 ~> x1 a2 a1
```

#### test\_conjugate\_to(other)

Determines if the current automorphism  $\psi$  is conjugate to the *other* automorphism  $\phi$ .

**Returns** if it exists, a conjugating element  $\rho$  such that  $\rho^{-1}\psi\rho = \phi$ . If no such  $\rho$  exists, returns None.

**Raises ValueError** – if the automorphisms have different arities or alphabet sizes.

```
>>> psi, phi = random_conjugate_pair()
>>> rho = psi.test_conjugate_to(phi)
>>> rho is not None
True
>>> psi * rho == rho * phi
True
>>> psi, phi = load_example_pair('first_pond_example')
>>> rho = psi.test_conjugate_to(phi)
>>> rho is not None
True
>>> psi * rho == rho * phi
True
```

#### See also:

This is an implementation of Algorithm 5.6 in the paper. It depends on Algorithms 5.13 and 5.27; these are the *periodic* and *infinite* tests for conjugacy.

#### find\_power\_conjugators (other, cheat=False)

Determines if some power of the current automorphism  $\psi$  is conjugate to some power of the *other* automorphism  $\phi$ . This method exhaustively searches for all minimal solutions  $(a, b, \rho)$  such that  $\rho^{-1}\psi^a\rho = \phi^b$ . This method returns a generator which yields such minimal solutions.

**Warning:** If the *power\_conjugacy\_bounds()* are reasonable large (say > 30), this method could potentially take a long time!

**Parameters cheat** – (internal) for speeding up testing.

**Raises ValueError** – if the automorphisms have different arities or alphabet sizes.

#### See also:

This is an implementation of Algorithm 6.13 in the paper. It depends on Algorithms 5.6 (the *conjugacy test*) and 6.11 (the *infinite power conjugate test*.)

test\_power\_conjugate\_to(other, cheat=False)

Determines if some power of the current automorphism  $\psi$  is conjugate to some power of the *other* automorphism  $\phi$ . This method searches for a **single** minimal solution  $(a, b, \rho)$  such that  $\rho^{-1}\psi^a\rho = \phi^b$ . If no such solution exists, returns None.

**Warning:** If the *power\_conjugacy\_bounds()* are reasonable large (say > 30), this method could potentially take a long time!

Parameters cheat - (internal) for speeding up testing.

**Raises ValueError** – if the automorphisms have different arities or alphabet sizes.

```
>>> psi, phi = load_example_pair('mixed_pconj')
>>> a, b, rho = psi.test_power_conjugate_to(phi)
>>> a, b
(6, 3)
>>> psi**a * rho == rho * phi ** b
True
```

#### See also:

This is an implementation of Algorithm 6.13 in the paper. It depends on Algorithms 5.6 (the *conjugacy test*) and 6.11 (the *infinite power conjugate test*.)

### 3.8.2 Next steps

To complete the details of the *conjugacy test*, we have to be able to test if two pure periodic automorphisms are conjugate and if two pure infinite automorphisms are conjugate. Any given automorphism can be broken down into a pure periodic and pure infinite part. These parts are called *free factors*.

# 3.9 Free Factors and conjugacy

To test for conjugacy we need to extract periodic and infinite components of an automorphism and test those components for conjugacy. These next few classes keep track of

- · how the components embed into the original automorphism, and
- any extra information that we need to test the components for conjugacy.

## 3.9.1 Periodic Factors

```
class thompson.periodic.PeriodicAut (domain, range, reduce=True)
A purely periodic automorphism, which may have been extracted from a mixed automorphism.
```

```
>>> example_5_9 = load_example('example_5_9')
>>> print(example_5_9)
PeriodicAut: V(2, 1) \rightarrow V(2, 1) specified by 7 generators (after expansion and,
\rightarrow reduction).
x1 a1 a1 a1 -> x1 a1 a1 a2
x1 a1 a1 a2 -> x1 a1 a2
x1 a1 a2 -> x1 a1 a1 a1
x1 a2 a1 a1 -> x1 a2 a1 a2
x1 a2 a1 a2 -> x1 a2 a1 a1
x1 a2 a2 a1 -> x1 a2 a2 a2
x1 a2 a2 a2 -> x1 a2 a2 a1
>>> sorted(example_5_9.cycle_type)
[2, 3]
>>> #Two orbits of size 2, one orbit of size 3
>>> from pprint import pprint
>>> pprint(example_5_9.multiplicity)
{2: 2, 3: 1}
>>> example_5_9.order
6
```

```
>>> load_example('cyclic_order_six').order
6
>>> phi = random_periodic_automorphism()
>>> 1 <= phi.order < float('inf')
True
>>> len(phi.cycle_type) != 0
True
>>> len(phi.characteristics)
0
```

#### test\_conjugate\_to(other)

We can determine if two purely periodic automorphisms are conjugate by examining their orbits.

```
>>> psi_p, phi_p = load_example('example_5_12_psi'), load_example('example_5_
→12_phi')
>>> rho_p = psi_p.test_conjugate_to(phi_p)
>>> print(rho_p)
PeriodicAut: V(2, 1) \rightarrow V(2, 1) specified by 6 generators (after expansion.)
\rightarrow and reduction).
x1 a1 a1 a1 a1 -> x1 a1 a2
x1 a1 a1 a1 a2 -> x1 a2 a2
x1 a1 a1 a2 -> x1 a1 a1 a1
x1 a1 a2
              -> x1 a2 a1 a1
x1 a2 a1
              -> x1 a1 a1 a2
x1 a2 a2
              -> x1 a2 a1 a2
>>> rho_p * phi_p == psi_p * rho_p
True
>>> psi_p, phi_p = random_conjugate_periodic_pair()
>>> rho_p = psi_p.test_conjugate_to(phi_p)
>>> rho_p * phi_p == psi_p * rho_p
True
```

#### See also:

This implements algorithm 5.13 of the paper—see section 5.3.

**find\_power\_conjugators** (*other*, *identity\_permitted=False*, *cheat=False*)

```
test_power_conjugate_to(other, cheat=False)
```

Tests two periodic factors to see if they are power conjugate. Yields minimal solutions  $(a, b, \rho)$ . such that  $\rho^{-1}\psi^a\rho = \phi^b$ .

#### See also:

Section 6.1 of the paper.

```
power_conjugacy_bounds (other, identity_permitted)
```

We simply try all powers of both automorphisms. There are only finitely many, because everything is periodic.

**Returns** self.power, other.power if the identity is permitted as a solution; otherwise self.power - 1, other.power - 1.

**Todo:** Maybe this should be 0 to order if the identity is permitted and 1 to order otherwise?

#### See also:

Section 6.1 of the paper.

## 3.9.2 Infinite Factors

**class** thompson.infinite.**InfiniteAut** (*domain, range, reduce=True*) A purely infinite automorphism which may have been extracted from a mixed automorphism.

```
>>> print(load_example('example_5_3').free_factors()[1])
InfiniteAut: V(2, 1) \rightarrow V(2, 1) specified by 6 generators (after expansion and
\rightarrow reduction).
This automorphism was derived from a parent automorphism.
'x' and 'y' represent root words of the parent and current derived algebra,
\leftrightarrowrespectively.
                  yl al al al => yl al al
x1 a1 a1 a1 a1 ~>
                                                ~> x1 a1 a1 a1
                  y1 a1 a1 a2 => y1 a1 a2 a1
x1 a1 a1 a1 a2 ~>
                                                 ~> x1 a1 a1 a2 a1
x1 a1 a1 a2 ~> y1 a1 a2 => y1 a1 a2 a2
                                                 ~> x1 a1 a1 a2 a2
x1 a2 a1 ~> y1 a2 a1
                             => y1 a2 a1 a1
                                                 ~> x1 a2 a1 a1
x1 a2 a2 a1 ~> y1 a2 a2 a1 => y1 a2 a1 a2
                                                 ~> x1 a2 a1 a2
x1 a2 a2 a2 ~> y1 a2 a2 a2 => y1 a2 a2
                                                 ~> x1 a2 a2
>>> phi = random_infinite_automorphism()
>>> phi.order
inf
>>> len(phi.cycle_type)
0
>>> len(phi.characteristics) != 0
True
```

#### test\_conjugate\_to(other)

We can determine if two purely infinite automorphisms are conjugate by breaking down the quasi-normal basis into *equivalence* classes.

```
>>> for psi_i, phi_i in (
... load_example_pair('example_5_26'),
... load_example_pair('inf_conj'),
... random_conjugate_infinite_pair()):
... rho_i = psi_i.test_conjugate_to(phi_i)
... print('Conjugate:', rho_i is not None, ' Conjugator works:', rho_i *_
->phi_i == psi_i * rho_i)
```

```
Conjugate: True Conjugator works: True
Conjugate: True Conjugator works: True
Conjugate: True Conjugator works: True
```

```
>>> f, g = (load_example('not_conjugate_' + c) for c in 'fg')
>>> f.is_conjugate_to(g)
False
```

#### See also:

This implements Algorithm 5.27 of the paper—see Section 5.4.

#### equivalence\_data(type\_b, type\_c)

Let X be the quasi-normal basis for the current automorphism  $\psi$ . We can define an equivalence relation  $\equiv$  on X by taking the non-transitive relation

$$x \equiv y \iff \exists k, \Gamma, \Delta : x \Gamma \psi^k = y \Delta$$

and allowing it to generate an equivalence relation. This method returns a pair (roots, graph) where

- graph is a DiGraph()
  - vertices are type B words in X
  - directed edges  $x \rightarrow y$  correspond to the direct relation  $x \equiv y$
  - the graph is a directed forest
- roots is a list of type B words in X
  - Each root is the root of a different tree in the forest graph.

This information allows us to (attempt to) compute the images of X under a conjugator given only the images of *roots*.

#### See also:

Definition 5.17 through to Lemma 5.20.

```
potential_image_endpoints (self_type_b)
```

Let x be a type B word with respect to the current automorphism. This returns a mapping which takes x and produces the set of words w which are endpoints of *other*-orbits which have the same characteristic as x.

#### See also:

The sets  $\mathcal{R}_i$  of definition 5.23.

#### find\_power\_conjugators (other, cheat=False)

Tests two infinite factors to see if they are power conjugate. Yields minimal solutions (a, b, ho).

```
>>> example_6_8_psi, example_6_8_phi = load_example_pair('example_6_8')
>>> solns = example_6_8_psi.find_power_conjugators(example_6_8_phi)
>>> for a, b, rho in solns:
... print(a, b)
... assert example_6_8_psi ** a * rho == rho * example_6_8_phi ** b
3 1
-3 -1
```

**Warning:** If the *power\_conjugacy\_bounds()* are reasonable large (say > 30), this method could potentially take a long time!

See also:

Algorithm 6.11 of the paper.

```
test_power_conjugate_to (other, cheat=False)
```

Tests two infinite factors to see if they are power conjugate. If a solution exists, returns  $(a, b, \rho)$  such that  $\rho^{-1}\psi^a\rho = \phi^b$ . Otherwise returns None.

```
>>> result = example_6_8_psi.test_power_conjugate_to(example_6_8_phi)
>>> result is not None
True
>>> a, b, rho = result
>>> (example_6_8_psi ** a) * rho == rho * (example_6_8_phi ** b)
True
```

**Warning:** If the *power\_conjugacy\_bounds()* are reasonable large (say > 30), this method could potentially take a long time!

#### See also:

Algorithm 6.11 of the paper.

power\_conjugacy\_bounds (other)

Compute the bounds  $\hat{a}, \hat{b}$  on powers which could solve the power conjugacy problem.

```
>>> example_6_8_psi.power_conjugacy_bounds(example_6_8_phi)
(9, 1)
```

See also:

Proposition 6.6 and Corollary 6.7.

#### minimal\_partition()

Let  $\psi$  be the current automorphism. This method partitions the characteristics  $M_{\psi}$  into cells  $P_1 \sqcup \cdots \sqcup P_L$ , where - The multipliers  $\Gamma$  all have the same *root* (), for all  $(m, \Gamma)$  in each  $P_i$ . - L is minimal with this property.

```
>>> def print_partition(p):
        for root in sorted(p.keys(), reverse=True):
. . .
                print(format(root), end = ':')
. . .
                for power, mult, _ in p[root]:
• • •
                         print(' ({}, {})'.format(power, format(mult)), end='')
. . .
. . .
                print()
>>> print_partition(example_6_8_psi.minimal_partition())
al: (-1, al)
a2: (1, a2)
>>> print_partition(example_6_8_phi.minimal_partition())
al: (-1, al al al)
a2: (1, a2 a2 a2)
>>> example_6_9_psi, example_6_9_phi = load_example_pair('example_6_9')
>>> print_partition(example_6_9_phi.minimal_partition())
al: (-2, al)
a2: (1, a2)
```

**Returns** a dictionary of sets. The keys of this dictionary are the roots  $\sqrt{\Gamma}$ ; the values are the cells  $P_i$ . An element of a cell looks like  $m, \Gamma, r$  where  $m, \Gamma$  is a characteristic and r is the root power corresponding to  $\sqrt{\Gamma}$ .

#### See also:

the discussion following Corollary 6.7.

```
static compute_bounds (s_parts, o_parts)
```

Computes the bounds  $\hat{a}, \hat{b}$  (in terms of the partitions P, Q given by *s\_parts* and *o\_parts*) as in eqns (14) and (15) of the paper.

```
>>> def bounds(s, o):
... P = s.minimal_partition()
... Q = o.minimal_partition()
... a_hat = InfiniteAut.compute_bounds(P, Q)
... b_hat = InfiniteAut.compute_bounds(Q, P)
... return a_hat, b_hat
>>> bounds(example_6_8_psi, example_6_8_phi)
(9, 1)
>>> bounds(example_6_9_psi, example_6_9_phi)
(1, 2)
```

## 3.9.3 Next steps

With these classes, the conjugacy and power conjugacy tests are implemented. The other important part of this package is the *examples* module.

# 3.10 Examples

This module provides a number of explicit examples for use in doctests. Additionally, functions to generate random automorphisms are provided.

## 3.10.1 Explict named examples

A list of named examples is loaded from the .aut files in the examples folder. This includes all the examples given in the paper, as well as others used to test the package. Use the following functions to load one of these examples.

```
thompson.examples.available_examples()
```

Returns an iterator yielding the names of the examples that are provided with the package. (Note that *the full list is provided* in this documentation.)

```
>>> list(available_examples())[:4]
['alphabet_size_two', 'arity_four', 'arity_three_order_inf', 'bleak_alpha']
```

thompson.examples.load\_example(name)

Loads the example with the given *name* from disk. A corresponding *Automorphism* instance is created and returned. The results are cached, so call this method as often as you like.

```
thompson.examples.load_example_pair(name)
```

Loads a pair of examples, \*name\*\_psi and \*name\*\_phi.

Return type a 2-tuple of automorphisms.

```
thompson.examples.load_all_examples()
```

Loads (and processes) **all** examples provided by the package. Returns a dictionary whose keys are the example names and whose values are the loaded automorphisms.

Note: To discourage use of this function, it is not available when importing \* from thompson. examples. Instead it must be explicitly imported with from thompson.examples import load\_all\_examples.

Warning: Some of the examples are slow to process, so calling this could take a long time.

```
thompson.examples.standard_generator(n=0)
```

Produces the standard generator  $X_n$  of F as described in [CFP96]. For instance,  $X_0$  is the following:

For n > 0 the element  $X_n$  is a *Mixed automorphism*, consisting of a large fixed part and a smaller part which looks like  $X_0$ .

```
>>> from random import randint
>>> n = randint(1, 20)
>>> x_n = standard_generator(n)
>>> type(x_n)
<class 'thompson.mixed.MixedAut'>
```

The  $X_n$  generate F; in fact just  $X_0$  and  $X_1$  are sufficient, due to the relation  $X_k^{-1}X_nX_k = X_{n+1}$  for k < n. See [CFP96] for more details.

Todo: Add the named generators A, B, C of Thompson's T and V. Analogues for general G\_n,r?

## 3.10.2 List of named examples

Note that some automorphisms have more than one name-they will appear once in this list for every alias.

alphabet\_size\_two See the forest diagram and function plot.

A toy example to test that the program works in  $V_{3,2}$ .

arity\_four See the forest diagram and function plot.

Another example for sanity checking. This is an automorphism of  $V_{4,1}$ .

arity\_three\_order\_inf See the forest diagram and function plot.

A purely *infinite* automorphism of  $V_{3,1}$ .

bleak\_alpha See the forest diagram and function plot.

The element  $\alpha$  of V used by Bleak *et al* [BBG11] to illustrate their train tracks and flow graphs.

bleak\_klein\_alpha See the forest diagram and function plot.

The example in [BBG11] of an element whose centraliser contains the Klein four group  $\mathbb{Z}_{\not\vDash} \oplus \mathbb{Z}_{\not\vDash}$  as a subgroup. We have represented it as an element of  $G_{2,8}$  rather than  $G_{2,1}$ .

bleak\_klein\_beta See the forest diagram and function plot.

The example  $\beta$  in [BBG11] of an element generating a Klein four group as part of the centraliser of bleak\_klein\_alpha.

bleak\_klein\_gamma See the forest diagram and function plot.

The example  $\gamma$  in [BBG11] of an element generating a Klein four group as part of the centraliser of bleak\_klein\_alpha.

commutator See the forest diagram and function plot.

An element of the commutator subgroup of F. It has one bump and two fixed intervals.

cyclic\_order\_six See the forest diagram and function plot.

An introductory example drawn on the board by NB. It is purely periodic of order six.

example\_4\_1 See the forest diagram and function plot.

This example is used in the paper to illustrate the meaning of a semi-normal form (Example 4.11) and of a tree pair diagram (Example 4.1).

example\_4\_12 See the forest diagram and function plot.

This example is used in the paper to illustrate the meaning of a semi-normal form. This example needs to be expanded from its minimal representation to find a semi-normal (in fact quasi-normal) form.

example\_4\_5 See the forest diagram and function plot.

This example is used to illustrate the different types of *components/orbits* in the paper.

example\_5\_12\_phi See the forest diagram and function plot.

The example used in the paper to demonstrate the periodic conjugacy test.

example\_5\_12\_psi See the forest diagram and function plot.

The example used in the paper to demonstrate the periodic conjugacy test.

example\_5\_15 See the forest diagram and function plot.

This example is used in the paper to illustrate the process of finding the quasi-normal basis.

example\_5\_26\_psi See the forest diagram and function plot.

The example used in the paper to demonstrate the infinite conjugacy test.

example\_5\_3 See the forest diagram and function plot.

This example is used to demonstrate how we can break down an automorphism into its *free\_factors()*.

example\_5\_9 See the forest diagram and function plot.

This example is used in the paper to illustrate the definition of cycle types.

**example\_6\_2** See the forest diagram and function plot.

Example 6.2 of the paper, used to illustrate lemma 6.1.

example\_6\_8\_phi See the forest diagram and function plot.

A purely infinite automorphism which illustrates the bounds  $\hat{a}, \hat{b}$  for power conjugacy.

f\_thirds\_fixed See the forest diagram and function plot.

An element of F whose fixed point set is exactly  $\{0, 1/3, 2/3, 1\}$ .

first\_pond\_example\_phi See the forest diagram and function plot.

The example of a pond orbit we found by a random search. Its banks are  $x\alpha_1^4\alpha_2$  and  $x\alpha_1\alpha_2^2$ .

first\_pond\_example\_psi See the forest diagram and function plot.

The element which was conjugate to first\_pond\_example\_phi.

four\_minimal\_revealing\_pairs See the forest diagram and function plot.

I think this automorphism has 4 minimal revealing pairs. It has an orbit of characteristic (-4, a1<sup>4</sup>) spread apart over four components of  $A \setminus B$ . Brin's algorithm would have you add three of these components to the tree, and I think the component you DON'T add is the one that determines the revealing pair.

inf\_conj\_phi See the forest diagram and function plot.

An example used to debug the infinite conjugacy test.

inf\_conj\_psi See the forest diagram and function plot.

An example used to debug the infinite conjugacy test.

mixed\_pconj\_phi See the forest diagram and function plot.

An example of mixed power conjugacy found by random search. Should have  $\psi^{-4} \sim \phi^2$ .

mixed\_pconj\_psi See the forest diagram and function plot.

An example of mixed power conjugacy found by random search. Should have  $\psi^{-4} \sim \phi^2$ .

mixed\_plines See the forest diagram and function plot.

An element of T with rotation number 1/2. The square of this element acts like  $x_0^2$  on the first and third quarters, and acts like the identity elsewhere. Thus it has two periodic flow lines and two pointwise periodic lines. The same is true of the square of mixed\_plines\_2, but even though it does not act as the identity on the second and fourth quarters.

mixed\_plines\_2 See the forest diagram and function plot.

An element of T with rotation number 1/2 and no fixed intervals. The square of this element acts like  $x_0^2$  on the first and third quarters, and acts like the identity elsewhere. Thus it has two periodic flow lines and two pointwise periodic lines. The same is true of the square of mixed\_plines, which acts as the identity on the second and fourth quarters.

multiple\_classes See the forest diagram and function plot.

A purely infinite automorphism for which there are two equivalence classes defined on X.

multiple\_classes\_smaller See the forest diagram and function plot.

A purely infinite automorphism for which there are two equivalence classes defined on X. It was more straightforward to see that this automorphism had two equivalence classes.

nathan1\_example See the forest diagram and function plot.

One of Nathan's examples. This is *almost*, but not quite conjugate to nathan\_pond\_example. Nathan had this to say: *"I untwisted one of the infinite orbits after a conjugation and so they definitely should not be conjugate."* 

nathan\_pond\_example See the forest diagram and function plot.

Nathan's example of an automorphism containing a pond. This has a simpler tree pair than first\_pond\_example\_phi.

no\_minimal\_revealing See the forest diagram and function plot.

An example from section 3.6 of [SD10]. This is an automorphism  $f \in G_{2,1}$  for which there is no minimal revealing pair from which every other revaling pair can be obtained via rollings.

non\_dyadic\_fixed\_point See the forest diagram and function plot.

In their paper introducing strand diagrams, Belk and Matucci provide an example of an element of F which has a non-dyadic fixed point. This is a slight simplification of that example.

non\_revealing See the forest diagram and function plot.

A purely infinite automorphism for which - The minimal representation does NOT correspond to a revealing pair; and - The quasinormal basis does NOT correspond to a revealing pair.

not\_conjugate\_f See the forest diagram and function plot.

An example of an element which isn't conjugate to not\_conjugate\_g, even though it comes quite close to being so!

not\_conjugate\_g See the forest diagram and function plot.

An element which is not conjugate to not\_conjugate\_f, even though it comes quite close to being so!

olga\_f See the forest diagram and function plot.

An automorphism described in example 5 of [SD10]. Nathan had this to say: "olga\_f is conjugate to olga\_g, via a rho that can be found using your program or olga\_h. Note that characteristics are not the same for two of the semi-infinite orbits."

olga\_g See the forest diagram and function plot.

An automorphism described in example 5 of [SD10]. Nathan had this to say: "olga\_f is conjugate to olga\_g, via a rho that can be found using your program or olga\_h. Note that characteristics are not the same for two of the semi-infinite orbits."

olga\_gdash See the forest diagram and function plot.

An automorphism described in example 5 of [SD10]. The paper claims that olga\_f and olga\_gdash are not conjugate.

olga\_h See the forest diagram and function plot.

An automorphism described in example 5 of [SD10]. Nathan had this to say: "olga\_f is conjugate to olga\_g, via a rho that can be found using your program or olga\_h. Note that characteristics are not the same for two of the semi-infinite orbits."

periodic\_QNB\_206 See the forest diagram and function plot.

This purely periodic automorphism (again found by random search) has a quasinormal basis of size 206.

periodic\_QNB\_344 See the forest diagram and function plot.

This purely periodic automorphism (again found by random search) has a quasinormal basis of size 344.

pond\_width\_4 See the forest diagram and function plot.

An example found by random search of a pond orbit of width 4. Prior to this we had only seen ponds of width 1 or 2.

power\_smaller\_QNB See the forest diagram and function plot.

The square of this automorphism appears to have a QNB above the QNB of the original automorphism.

rotation\_number\_one\_third See the forest diagram and function plot.

An non-periodic element of  $T_{2,3}$  which should have rotation number 1/3.

scott\_free\_alpha See the forest diagram and function plot.

The element alpha in the appendix of *[Scott]* which generates a free group of rank 2 with beta, also from the appendix.

scott\_free\_beta See the forest diagram and function plot.

The element beta in the appendix of [Scott] which generates a free group of rank 2 with alpha, also from the appendix.

semi\_inf\_c See the forest diagram and function plot.

An example of an automorphism whose quasi-normal basis X contains an element  $x\alpha_2\alpha_1$  which belongs to a semi-infinite X-component which is not characteristic.

t\_generator\_c See the forest diagram and function plot.

This is the element C used by Cannon, Floyd and Parry to generate Thompson's group T.

thirds\_fixed See the forest diagram and function plot.

This element of T has only two fixed points: 1/3 and 2/3; note that these are non dyadic!

two\_flow\_components\_d See the forest diagram and function plot.

An example which found a bug in the infinite conjugacy test. This automorphism contains two flow components which are identical in all but their location.

two\_flow\_components\_e See the forest diagram and function plot.

An example which found a bug in the infinite conjugacy test. This automorphism is a conjugated version of two\_flow\_components\_d.

v\_generator\_pi See the forest diagram and function plot.

This is the generator  $\pi_0$  used by Cannon, Floyd and Parry to generate V.

v\_revealing\_test See the forest diagram and function plot.

A short example used to test the is\_revealing method

## 3.10.3 Randomly generated examples

#### Words

thompson.examples.random\_signature()

Randomly generates a Signature (n, r) for use in the functions below. The values of n and r are chosen (uniformly) at random from  $n \in \{2, 3, 4\}$  and  $r \in \{1, 2, 3, 4, 5\}$ , respectively.

**Note:** This function is used to select a random signature when no *signature* argument is provided to the following random functions.

thompson.examples.random\_simple\_word(signature=None)

Randomly generates a simple Word belonging to the algebra with the given signature. The word consists of an  $x_i$  followed by 0–15 descendant operators  $\alpha_j$ . The length and the index of each  $\alpha_j$  is chosen (uniformly) at random.

```
>>> random_simple_word().is_simple()
True
```

thompson.examples.random\_basis(signature=None, num\_expansions=None, \*args, \*\*kwargs)

Randomly generates a basis for the algebra with the given *signature* (n, r). The basis is generated by expanding the *standard\_basis()* num\_expansions times. The expansion point is chosen (uniformly) at random each time. If num\_expansions is not provided, a value from  $\{1, 2, 3, 4, 5\}$  is chosen (uniformly) at random.

```
>>> random_basis().is_basis()
True
```

The *cls* argument specifies which class the generated basis should have; this should be a subclass of *Generators*. At the moment, this is only used internally and experimentally.

**Note:** This does not generate *bases* uniformly at random. For instance, take  $V_{n,r} = V_{2,1}$  and let  $num\_expansions = 3$ . The first expansion always gives the basis  $[x\alpha_1, x\alpha_2]$ . Expanding this twice produces give six bases, one of which appears twice. (To see this, enumerate the rooted binary trees with four leaves.)

#### **Automorphisms**

Randomly generates an automorphism for the algebra with the given signature. Two bases *domain* and *range* are generated by *random\_basis()*. Then the *range* is manipulated according to the group we're interesting in. For group == 'V', we randomly shuffle the *range*; for group == 'T', we cyclicly permute the *range*; for group == 'F' we do nothing.

```
>>> random_automorphism(group='T').cycles_order()
True
>>> random_automorphism(group='F').preserves_order()
True
```

An automorphism is returned which maps the elements of *domain* to those of *range* in whichever order results.

Note: The bases may be reduced when the automorphism is created (if they contain redundancy).

thompson.examples.random\_periodic\_automorphism(signature=None,

num\_expansions=None, \*\*kwargs) \*args,

Randomly generates an automorphism for the algebra with the given signature. A basis *domain* is generated by *random\_basis()*. A copy *range* is made of *domain*, and is then *randomly shuffled*. An automorphism is returned which maps the elements of *domain* to those of *range* in order.

Note: The bases may be reduced when the automorphism is created (if they contain redundancy.

```
thompson.examples.random infinite automorphism (signature=None,
                                                             num_expansions=None.
                                                                                            *args,
                                                             **kwargs)
     Randomly generates an infinite automorphism-either by chance,
                                                                        or by extracting an infinite
     free factor().
     Todo: The implementation is inefficient: just make auts at random until you find an infinite one. Fix this!
thompson.examples.random conjugate pair (signature=None, num expansions=None, *args,
                                                    **kwargs)
     Calls random_automorphism () to create two automorphisms \psi and \rho. Returns the pair (\psi, \rho^{-1}\psi\rho), which
     are conjugate by definition.
thompson.examples.random_conjugate_periodic_pair(signature=None,
                                                                num_expansions=None,
                                                                                            *args,
                                                                **kwargs)
     The
                          random_conjugate_pair(),
            same
                    as
                                                             except
                                                                           is
                                                                                chosen
                                                                                               be
                                                                                                     а
                                                                      \psi
                                                                                          to
     random_periodic_automorphism().
thompson.examples.random_conjugate_infinite_pair (signature=None,
                                                                num_expansions=None,
                                                                                            *args,
                                                                **kwargs)
     The
            same
                          random_conjugate_pair(),
                                                             except
                                                                           is
                                                                                chosen
                                                                                               be
                    as
                                                                      \psi
                                                                                          to
                                                                                                     а
     random_infinite_automorphism().
thompson.examples.random_power_conjugate_pair(signature=None, num_expansions=None,
                                                            *args, **kwargs)
     Generates \psi, \rho using random_automorphism() and random powers a, b. Returns the tuple (\Psi, \Phi) =
     (\psi^b, \rho^{-1}\psi^a \rho). Note that \Psi^a is conjugate to \Phi^b via \rho.
```

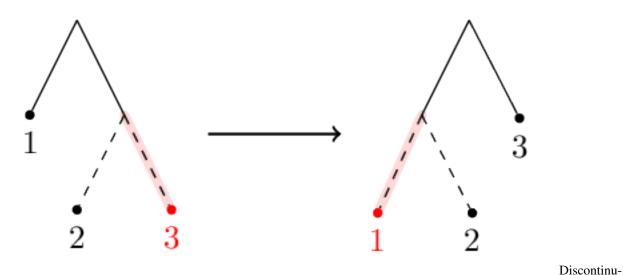
# 3.11 Drawing automorphisms

It can be helpful to see automorphisms rather than just read a description of them. To that end we introduce functions for rendering automorphisms as tree pair diagrams. The output looks best for automorphisms with a small arity (2–5) and a reasonable number of leaves.

### 3.11.1 Examples

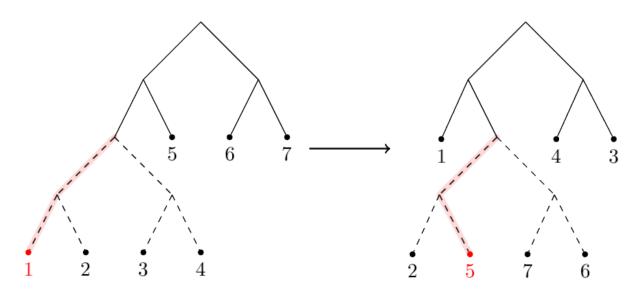
First we take a well-behaved automorphism. The solid carets are those belonging to both the domain and range trees. All other carets are dotted. Some edges are highlighted in red—these correspond to the repellers and attractors discussed by [SD10].

```
>>> from thompson import *
>>> x0 = standard_generator(0)
>>> plot(x0)
>>> forest(x0)
```



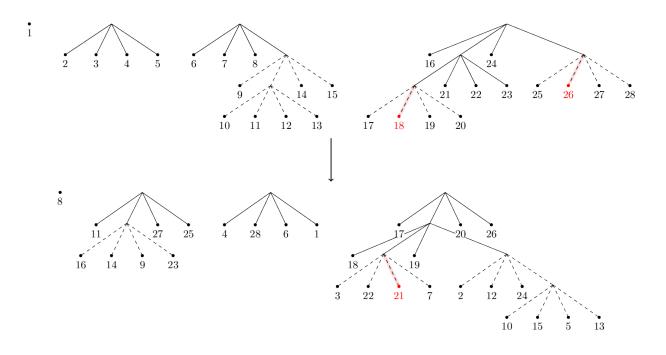
ities are fine too. Here's example\_4\_17 for instance.

```
>>> pond = load_example('example_4_17')
>>> plot(pond, discontinuities=True)
>>> forest(pond)
```



Let's aim for something more chaotic. This example is complicated enough that the drawings don't really give us much insight into the automorphism.

```
>>> random = random_automorphism() #different every time!
>>> plot(random)
>>> forest(random, horiz=False)
```



#### 3.11.2 Drawing functions

thompson.drawing.display\_file(filepath, format=None, scale=1.0, verbose=False)

Display the image at *filepath* to the user. This function behaves differently, depending on whether or not we execute it in a Jupyter notebook.

If we are **not** in a notebook, this function opens the given image using the operating system's default application for that file.

If we **are** in a notebook, this returns an IPython object corresponding to the given image. If this object is the last expression of a notebook code block, the image will be displayed. The image is handled differently depending on its *format*, which must be specified when the function is called in a notebook. Only the formats '*svg*' and '*pdf*' are accepted.

**Note:** PDF files are displayed in a notebook as a rendered PNG. The conversion is made using the convert program provided by ImageMagick, which must be available on the PATH for this function to work with PDF files. The optional *scale* argument can be used to control how big the rendered PNG is.

Todo: use a Python binding to ImageMagick rather than just shelling out?

thompson.drawing.plot(\*auts, dest=None, display=True, diagonal=False, endpoints=False, scale=1)

Plots the given *automorphism* s as a function  $[0,1] \rightarrow [0,1]$ . The image is rendered as an SVG using *svgwrite*.

#### **Parameters**

- **dest** (*str*) the destination filepath to save the SVG to. If *None*, the SVG is saved to a temporary file location.
- **display** (*bool*) if True, automatically call *display\_file()* to display the SVG to the user. Otherwise does nothing.

- diagonal (bool) if True, draws the diagonal to highlight fixed points of aut.
- endpoints (bool) if True, open and closed endpoints are drawn on the plot to emphasise any discontinuities that *aut* has.

**Returns** the filepath where the SVG was saved. If *dest* is *None* this is a temporary file; otherwise the return value is simply *dest*.

thompson.drawing.forest (aut, jobname=None, display=True, scale=1, \*\*kwargs)

Draws the given *Automorphism* as a forest-pair diagram. The image is rendered as a PDF using the *tikz* graph drawing libraries and *lualatex*.

#### Parameters

- jobname (*str*) the destination filepath to save the PDF to. A file extension should not be provided. If *None*, the PDF is saved to a temporary file location.
- **display** (*bool*) if True, automatically call *display\_file()* to display the PDF to the user. Otherwise does nothing.
- **scale** (*float*) In a Jupyter notebook, this controls the size of the rendered PNG image. See the note in *display\_file()*.

**Returns** the filepath where the PDF was saved. If *dest* is *None* this is a temporary file; otherwise the return value is simply *jobname* + '*.pdf*'.

**Note:** The graph drawing is done via a TikZ and LaTeX. The source file is compiled using *lualatex*, which must be available on the PATH for this function to work.

thompson.drawing.flow(aut, jobname=None, display=True)

thompson.drawing.forest\_code (aut, name=", domain='wrt QNB', include\_styles=True, horiz=True, LTR=True, standalone=True, draw revealing=True)

Generate TikZ code for representing an automorphism *aut* as a forest pair diagram. The code is written to *dest*, which must be a writeable file-like object in text mode.

#### Parameters

- **name** (*str*) The label used for the arrow between domain and range forests. This is passed directly to TeX, so you can include mathematics by delimiting it with dollars. Note that backslashes are treated specially in Python unless you use a *raw string*, which is preceded with an r. For instance, try name=r'\gamma\_1
- **domain** (Generators) By default, we use the *minimal expansion* of the *quasi-normal basis* as the leaves of the domain forest. This can be overridden by providing a *domain* argument.
- include\_styles (bool) Should the styling commands be added to this document?
- horiz (bool) If True, place the range forest to the right of the domain. Otherwise, place it below.
- LTR (bool) if True and if horiz is True, draw the domain tree to the left of the range tree. If horiz is True but LTR is false, draw the domain tree to the right of the range tree.
- **standalone** (*bool*) If True, create a standalone LaTeX file. Otherwise just create TikZ code.
- draw\_revealing (bool) Should attractor/repeller paths be highlighted in red?

# 3.12 References

The main reference is to the paper describing theory behind these algorithms.

#### 3.12.1 From the paper

#### 3.12.2 Other references

# 3.13 Todo list

Note: There are also many #TODO comments scattered throughout the python files.

Warning: Auto-generated page! This does not look pretty.

**Todo:** Make this available under an open-source license.

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/docs/index.rst, line 38.)

**Todo:** This could be made more efficient for large values of *power* by using knowledge of the component containing *key*.

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/automorphism.py:docstring of thompson.automorphism.Automorphism.repeated\_image, line 18.)

**Todo:** This should be renamed to component\_type.

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/automorphism.py:docstring of thompson.automorphism.Automorphism.orbit\_type, line 91.)

Todo: This method is badly named; something like test\_revealed\_by(basis) would be better.

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/automorphism.py:docstring of thompson.automorphism.Automorphism.test\_revealing, line 21.)

Todo: This method is badly named; something like is\_revealed\_by (basis) would be better.

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/automorphism.py:docstring of thompson.automorphism.Automorphism.is\_revealing, line 21.)

#### Todo: doctests

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/automorphism.py:docstring of thompson.automorphism.Automorphism.centralise\_period, line 5.)

Todo: use a Python binding to ImageMagick rather than just shelling out?

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/drawing/\_\_init\_\_.py:docstring of thompson.drawing.display\_file, line 14.)

Todo: Add the named generators A, B, C of Thompson's T and V. Analogues for general G\_n,r?

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/docs/thompson.examples.rst, line 19.)

Todo: The implementation is inefficient: just make auts at random until you find an infinite one. Fix this!

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/examples/random.py:docstring of thompson.examples.random\_infinite\_automorphism, line 3.)

**Todo:** Maybe this should be 0 to order if the identity is permitted and 1 to order otherwise?

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/periodic.py:docstring of thompson.periodic.PeriodicAut.power\_conjugacy\_bounds, line 5.)

Todo: Should use a different attribute (.comment?) rather than \_\_doc\_\_.

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/homomorphism.py:docstring of thompson.homomorphism.Homomorphism.from\_file, line 20.)

**Todo:** More convenient ways of inputting words, e.g. x a1^3 instead of x a1 a1 a1. Use of unicode characters  $\alpha$  and  $\lambda$ ?

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/word.py:docstring of thompson.word.from\_string, line 36.)

**Todo:** I think this is a total order—try to prove this. I based the idea on [Zaks] (section 2, definition 1) which describes a total order on k-ary trees. On another note, isn't this similar to shortlex order?

(The original entry is located in /home/docs/checkouts/readthedocs.org/user\_builds/thompsons-v/checkouts/master/thompson/word.py:docstring of thompson.word.Word.\_\_lt\_\_, line 53.)

### 3.14 Open subsets of the Cantor set

class thompson.cantorsubset.CantorSubset (signature, generators=None)

A subclass of *Generators* specifically designed to represent an open subset of the Cantor set C. We store this as a (typically sorted) list of *Generators*  $u_1, \ldots, u_n$ . This stands for the set of all points  $u_i \Gamma$  where  $\Gamma \in \{0, 1\}^{\mathbb{N}}$ .

```
is_entire_Cantor_set()
```

is\_empty()

status()

contract()

Contracts the current generating set as much as possible (without using words involving a  $\lambda$ ). The set should be sorted before using this method.

```
>>> basis = random_basis(cls=CantorSubset)
>>> basis.contract()
>>> basis == CantorSubset.standard_basis(basis.signature)
True
>>> basis = CantorSubset((2,1), '01011 01010'.split())
>>> basis.contract()
>>> print(basis)
[0101]
```

#### simplify()

Simplifies the current Cantor subset to a normal form. We do this in three steps. Firstly we expand any *nonsimple Words*. Second, we *contract()* as much as possible (without using words involving a  $\lambda$ ). Last, we check to see if the set contains any pairs  $u, u\Gamma$  and remove the latter.

```
>>> X = CantorSubset((2,1), "0 11 10 00 111 1101 11110".split())
>>> X.simplify(); print(X)
[<entire Cantor set>]
>>> X = CantorSubset((2,1), ["1"])
>>> X.simplify(); print(X)
[1]
```

\_\_\_str\_\_\_()

We use a notation introduced to us by Bleak: square brackets around a word stand for "the Cantor set underneath" its argument. We use the *format\_cantor()* function to display the elements of the generating set.

```
>>> print(CantorSubset((2, 1)))
[]
>>> print(CantorSubset((2, 1), ["x"]))
[<entire Cantor set>]
>>> print(CantorSubset((2, 1), ["x al"]))
[0]
>>> S = CantorSubset((2, 1), ["x al", "x al al", "x a2 al al", "x a2 al a2"])
>>> print(S)
[0, 00, 100, 101]
>>> S.simplify(); print(S)
[0, 10]
```

#### \_\_\_and\_\_\_(other)

Computes the intersection of Cantor subsets. We assume the list of words is sorted before calling this function.

```
>>> A = CantorSubset((2, 1), ["00"])
>>> B = CantorSubset((2, 1), "01 111".split())
>>> C = A & B
>>> print(A, B, C)
[00] [01, 111] []
>>> D = CantorSubset((2, 1), ["11"])
>>> print(D, B, D & B)
[11] [01, 111] [111]
```

\_\_invert\_\_()

The complement. again it only works on a sorted list of leaves.

```
>>> X = CantorSubset((2, 1), "01 1010 11".split())
>>> print(~X)
[00, 100, 1011]
>>> print(~CantorSubset((2, 1), []))
[<entire Cantor set>]
>>> print(~CantorSubset((2, 1), ["x1"]))
[]
```

```
>>> X = random_generators(cls=CantorSubset, signature=(2,1))
>>> X.sort();
>>> comp = ~X
>>> print(X & comp)
[]
>>> X.extend(comp); X.simplify()
>>> print(X)
[<entire Cantor set>]
```

thompson.cantorsubset.detailed\_comparison(self, other)

Returns a tuple (*subword*, *comparison*) of two Booleans. Either one word is a subword of the other or not. In the former case *subword* is True, otherwise *subword* is False.

In both cases we can decide if *self* is lexicographically smaller than *other*. If so, *comparison* is -1. If they are equal, *comparison* is 0; if *self* is larger than *other* then *comparison* is 1.

```
>>> for thing in ["0 00", "001 00", "101 101", "0 1", "11 00"]:
... thing = thing.split()
... s = Word(thing[0], (2, 1))
... o = Word(thing[1], (2, 1))
... print(*detailed_comparison(s, o))
...
True -1
True 1
True 0
False -1
False 1
```

# CHAPTER 4

Indices

• genindex

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